water-resistant film.

=> d his

(FILE 'HOME' ENTERED AT 12:36:37 ON 10 OCT 2006)

| | FILE | 'CAPL | US | , MEDLINE' ENTERED AT 12:37:57 ON 10 OCT 2006 |
|-----|------|-------|----|---|
| L1 | | 23 | S | PULLULAN (P) ALGINATE? (P) FILM? |
| L2 | | 3 | S | L1 AND CAST? |
| L3 | | 20 | S | L1 NOT L2 |
| L4 | | 0 | S | L3 AND VISCOS? |
| L5 | | 1 | S | L3 AND VISCO? |
| L6 | | 19 | S | L3 NOT L5 |
| L7 | | 5 | S | L6 AND DISSOLV? |
| L8 | | 14 | S | L6 NOT L7 |
| L9 | | 2 | S | L8 AND MOUTH? |
| L10 | | 12 | S | L8 NOT L9 |
| L11 | | 26 | S | PULLULAN? (P) ALGIN? (P) FILM? |
| L12 | | 3 | S | L11 NOT L1 |
| L13 | | 3 | S | PULLULAN? (P) ALGIN? (P) RAPID? |
| L14 | | 5 | S | PULLULAN? (P) DOSAGE? (P) RAPID? |
| L15 | | 4 | S | PULLULAN? (P) VISCO? (P) CAST? |
| L16 | | 26 | S | PULLULAN? (P) FILM? (P) CAST? |
| L17 | | 24 | S | L16 NOT L1 |
| L18 | | 24 | S | L17 NOT L11 |
| L19 | | 22 | S | L17 NOT L15 |
| L20 | | 3 | S | PULLULAN? (P) ALGIN? (P) CAST? |

water-resistant film.

=> d his

(FILE 'HOME' ENTERED AT 12:36:37 ON 10 OCT 2006)

| | FILE | CAPL | JS | , MEDLINE' ENTERED AT 12:37:57 ON 10 OCT 2006 |
|-----|------|------|----|---|
| L1 | | 23 | S | PULLULAN (P) ALGINATE? (P) FILM? |
| L2 | | 3 | S | L1 AND CAST? |
| L3 | | 20 | S | L1 NOT L2 |
| L4 | | 0 | s | L3 AND VISCOS? |
| L5 | | 1 | S | L3 AND VISCO? |
| L6 | | 19 | s | L3 NOT L5 |
| L7 | | 5 | s | L6 AND DISSOLV? |
| L8 | | 14 | S | L6 NOT L7 |
| L9 | | 2 | S | L8 AND MOUTH? |
| L10 | | 12 | s | L8 NOT L9 |
| L11 | | 26 | s | PULLULAN? (P) ALGIN? (P) FILM? |
| L12 | | 3 | s | L11 NOT L1 |
| L13 | | 3 | s | PULLULAN? (P) ALGIN? (P) RAPID? |
| L14 | | 5 | s | PULLULAN? (P) DOSAGE? (P) RAPID? |
| L15 | | 4 | S | PULLULAN? (P) VISCO? (P) CAST? |
| L16 | | 26 | S | PULLULAN? (P) FILM? (P) CAST? |
| L17 | | 24 | S | L16 NOT L1 |
| L18 | | 24 | S | L17 NOT L11 |
| L19 | | 22 | s | L17 NOT L15 |
| L20 | | 3 | S | PULLULAN? (P) ALGIN? (P) CAST? |

L2 ANSWER 1 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:120708 CAPLUS

DOCUMENT NUMBER: 140:169672

TITLE: Process for making orally consumable biopolymeric

films

INVENTOR(S): Auffret, Anthony David; Benee, Lisa Suzanne

PATENT ASSIGNEE(S): Pfizer Limited, UK; Pfizer Inc.

SOURCE: PCT Int. Appl., 24 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
PATENT NO.
                          KIND
                                   DATE
                                               APPLICATION NO.
                                                                         DATE
                                   -----
                                                -----
                           ----
                                               WO 2003-IB3244
                                                                           20030716
     WO 2004012720
                                   20040212
                            A1
                            Cl
                                   20040415
     WO 2004012720
         W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
              CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
              GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
              LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM,
              PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT,
         TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
              KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
              FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
                                              CA 2003-2493786
                                   20040212
     CA 2493786
                            AA
                                                                        20030716
                                                 AU 2003-247083
     AU 2003247083
                            A1
                                   20040223
                                                                           20030716
                                                EP 2003-766550
     EP 1534253
                            A1
                                   20050601
                                                                           20030716
              AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
     BR 2003012993
                            Α
                                   20050628
                                                BR 2003-12993
                                                                           20030716
                                                                           20030716
     JP 2006503003
                            T2
                                   20060126
                                                 JP 2004-525675
     US 2004131661
                            A1
                                   20040708
                                                 US 2003-626811
                                                                           20030723
                                                                       A 20020726
PRIORITY APPLN. INFO.:
                                                 GB 2002-17382
                                                 US 2002-403887P
                                                                       P 20020816
                                                                       W 20030716
                                                 WO 2003-IB3244
```

AB The present invention is concerned with a process for making rapidly dissolving and dispersing dosage forms, particularly orally consumable films, made of a hydrated polymer comprising pullulan and sodium alginate having a viscosity suitable for casting, for the delivery of pharmaceutically active agents. For example, pullulan (20.0 g) and sodium alginate (1.0 g) were dissolved in water (100 mL) and the pH of the resulting gel was adjusted to 3.5 with hydrochloric acid. To 31.7 g of the gel was added ibuprofen (3.5 g) and a film was prepared by applying the gel to a glass plate, and the resulting film was dried at 80° for 30 min. When dry, the film provided an ibuprofen concentration of 36.6% weight/weight, i.e., about 32 mg of ibuprofen in a film 2.2 cm x 3.2 cm.

```
L2 ANSWER 2 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN
```

ACCESSION NUMBER: 2002:904322 CAPLUS

DOCUMENT NUMBER: 137:389152

TITLE: Simethicone and polysorbate 80 as weight gain

enhancers for coating compositions

INVENTOR(S): Szymczak, Christopher; Gulian, Cynthia; Gowan, Walter

G., Jr.

PATENT ASSIGNEE(S): McNeil-PPC, Inc., USA SOURCE: Eur. Pat. Appl., 20 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 4

PATENT INFORMATION:

| PAT | PATENT NO. | | | | | | DATE | | A | APPLICATION NO. | | | | | DATE | | | |
|----------|------------|------|------|-----|-----------|-----|------|------|--------------|-----------------|------|------|-----|-----|------|-------|------|--|
| | | | | | | | | | | | | | | | | | | |
| EP | 1260 | 217 | | | A2 | | 2002 | 1127 | E | P 2 | 002- | 2533 | 40 | | | 20020 | 514 | |
| EP | 1260 | 217 | | | A3 | | 2004 | 1229 | | | | | | | | | | |
| | R: | AT, | BE, | CH, | DE, | DK, | ES, | FR, | GB, | GR, | IT, | LI, | LU, | NL, | SE | , MC, | PT, | |
| | | ΙE, | SI, | LT, | LV, | FI, | RO, | MK, | CY, | AL, | TR | | | | | | | |
| US | 2003 | 0705 | 84 | | A1 | | 2003 | 0417 | U | S 2 | 002- | 1229 | 99 | | | 20020 | 412 | |
| US | 2003 | 0727 | 29 | | A1 | | 2003 | 0417 | U | S 2 | 002- | 1224 | 98 | | | 20020 | 415 | |
| ZA | 2002 | 0038 | 28 | | Α | | 2003 | 1114 | Z. | A 2 | 002- | 3828 | | | | 20020 | 514 | |
| ZA | 2002 | 0038 | 33 | | Α | | 2003 | 1114 | \mathbf{z} | A 2 | 002- | 3833 | | | | 20020 | 514 | |
| PRIORITY | APP | LN. | INFO | . : | | | | | U | S 2 | 001- | 2911 | 27P | | P | 20010 |)515 | |
| | | | | | | | | | U | 5 2 | 001- | 3257 | 26P | | P | 20010 | 928 | |
| | | | | | | | | | U | 5 2 | 002- | 1229 | 99 | | Α | 20020 | 412 | |
| | | | | | | | | | U | S 2 | 002- | 1224 | 98 | | A | 20020 | 415 | |

AB A film forming composition comprised of (i) a film former, selected from polyvinyl alc., starch derivs., pullulan, cellulose derivs., etc., and (b) a weight gain enhancer, selected from simethicone, polysorbate 80 and their mixts., is described. The weight gain enhancer is used in an amount sufficient to increase the weight gain of the film forming composition on a substrate when dried. The film forming composition further comprises a hydrocolloid, selected from alginates, natural gums, pectin, chitin, cyclodextrin, chitosan, etc., and a coloring agent, selected from azo, quinophthalone, triphenylmethane, xanthene or indigoid dyes, iron oxides, iron hydroxides, titanium dioxide, and natural dyes. For example, the film forming composition contains 40-99.9% of a hydroxypropyl Me cellulose film former, 0.5-5% of a xanthan gum hydrocolloid, and 0.01-0.25% simethicone.

L2 ANSWER 3 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1978:154728 CAPLUS

DOCUMENT NUMBER: 88:154728

TITLE: Water-resistant moldings based on pullulan INVENTOR(S): Mori, Atsuo; Namazue, Isamu; Nakae, Kiyohiko;

Terazawa, Takayuki; Ochiai, Hidekazu

PATENT ASSIGNEE(S): Sumitomo Chemical Co., Ltd., Japan; Hayashibara

Biochemical Laboratories, Inc.

SOURCE: Ger. Offen., 12 pp.

CODEN: GWXXBX

DOCUMENT TYPE: Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------------------------|------|----------|------------------|----------|
| | | | | |
| DE 2737947 | A1 | 19780302 | DE 1977-2737947 | 19770823 |
| JP 53026867 | A2 | 19780313 | JP 1976-101301 | 19760824 |
| FR 2362888 | A1 | 19780324 | FR 1977-25525 | 19770822 |
| FR 2362888 | B1 | 19811016 | | |
| GB 1559644 | Α | 19800123 | GB 1977-35313 | 19770823 |
| PRIORITY APPLN. INFO.: | | | JP 1976-101301 A | 19760824 |

AB Moldings prepared from a mixture of pullulan [9057-02-7] and Na alginate [9005-38-3] were treated with aqueous CaCl2 to prepare water-resistant moldings. Thus, a solution of 95:5 pollulan-Na alginate was cast on a surface and dried to prepare a film which was immersed in 5% aqueous CaCl2 for 30 s to prepare a transparent, water-resistant film.

L5 ANSWER 1 OF 1 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2003:426677 CAPLUS

DOCUMENT NUMBER: 139:163795

TITLE: Characteristics of pullulan-based edible films

AUTHOR (S): Rhim, Jong-Whan

CORPORATE SOURCE: Department of Food Engineering, Mokpo National

University, Chonnam, 534-729, S. Korea

SOURCE: Food Science and Biotechnology (2003), 12(2), 161-165

CODEN: FSBOBR; ISSN: 1226-7708

PUBLISHER: . Korean Society of Food Science and Technology

DOCUMENT TYPE: Journal LANGUAGE: English

films.

Properties of pullulan films with or without gelling agents were determined Tensile strength (TS), elongation at break (E), water vapor permeability (WVP), and water solubility (WS) of pullulan films were 29.6-54.13 MPa, 4.7-33.8%, 0.92-1.08 ng·m/m2·s-Pa, and 40.4-98.4%, resp.

Phys. properties of pullulan films were greatly influenced by adding gelling agents. TS of the films increased significantly (p<0.05) by adding gelling agents except .vkappa.-carrageenan. WVP of the pullulan films decreased slightly and WS decreased significantly (p<0.05) by adding gelling agents. Among the gelling agents tested, .vkappa.-carrageenan was the most effective in modifying the phys. strength (decrease in TS by the factor of 1.5 and increase in E by the factor of 7.2), and gellan was the most effective in reducing WS (by the factor of 2.4) of the pullulan-based

REFERENCE COUNT: 26 THERE ARE 26 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L7 ANSWER 1 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:876516 CAPLUS

DOCUMENT NUMBER: 145:298875

TITLE: Film for reducing and removing mouth odor having

convenient usage and portability, and being slowly

dissolved in mouth and composition for

manufacturing same

INVENTOR(S): Kim, Hoo Deok; Kim, Young Ho

PATENT ASSIGNEE(S): Lq Household & Health Care Ltd., S. Korea

SOURCE: Repub. Korean Kongkae Taeho Kongbo, No pp. given

CODEN: KRXXA7

DOCUMENT TYPE: Patent LANGUAGE: Korean

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

KR 2005029906 A 20050329 KR 2003-66176 20030924
PRIORITY APPLN. INFO.: KR 2003-66176 20030924

AB A film is provided for reducing and removing mouth odor and a composition for manufacturing the same film; the film has convenient usage and portability, and is slowly dissolved in a mouth to continuously inhibit and remove mouth odor such as mercaptans and garlic odor. The film for reducing and removing mouth odor comprises cyclodextrin as an effective component which is uniformly dispersed in the aqueous film substrate, wherein the amount of cyclodextrin added is 0.01 to 20.0%; the cyclodextrin is selected from alpha-cyclodextrin, beta-cyclodextrin, gamma-cyclodextrin and derivs. thereof; and the aqueous film substrate is water-soluble polymer selected from pullulan, pectin, chitin, carrageenan, xanthan gum, alginate, cellulose, dextrin and a mixture thereof. The composition for manufacturing the same film comprises 0.01 to 20.0% cyclodextrin; 0.5 to 35% water-soluble polymer; and 0.05 to 5.0% emulsifying agent.

L7 ANSWER 2 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:876515 CAPLUS

DOCUMENT NUMBER: 145:298874

TITLE: Film for reducing and removing mouth odor having

safety to human, convenient usage and portability, and

being slowly dissolved in mouth and composition for manufacturing same

INVENTOR(S): Kim, Hoo Deok; Kim, Young Ho

PATENT ASSIGNEE(S): Lg Household & Health Care Ltd., S. Korea

SOURCE: Repub. Korean Kongkae Taeho Kongbo, No pp. given

CODEN: KRXXA7

DOCUMENT TYPE: Patent Korean

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

KR 2005029905 A 20050329 KR 2003-66175 20030924

PRIORITY APPLN. INFO.: KR 2003-66175 20030924

AB A film for reducing and removing mouth odor and a composition for manufacturing the same film are provided; the film is safe in human, it has convenient usage and portability, and is slowly dissolved in the mouth to continuously remove mouth odor such as mercaptans and garlic odor. The film for reducing and removing mouth odor comprises an extract selected from green tea extract, Chaenomeles sinensis extract, citron extract and a mixture thereof as an effective

component

which is uniformly dispersed in the aqueous film substrate, wherein the amount of the extract added is 0.01 to 20.0%; and the aqueous film substrate is water-soluble polymer selected from pullulan, pectin, chitin, carrageenan, xanthan gum, alginate, cellulose, dextrin and a mixture thereof. The composition for manufacturing the same film comprises 0.01 to 20.0% of the extract selected from green tea extract, Chaenomeles sinensis extract, citron extract and a mixture thereof, 0.5 to 35% water-soluble polymer; and 0.05 to 5.0% emulsifying agent.

L7 ANSWER 3 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:853282 CAPLUS

DOCUMENT NUMBER: 142:50779

TITLE: Control of fungi and insects in apple orchard using

chitin or chitosan

INVENTOR(S): Um, Jae Yul; Lee, Yong Hyun; Park, Dong Chan; Lee, Sug

Ji

PATENT ASSIGNEE(S): S. Korea

SOURCE: Repub. Korea, No pp. given

CODEN: KRXXFC

DOCUMENT TYPE: Patent LANGUAGE: Korean

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

KR 192659 B1 19990615 KR 1996-30278 19960720

PRIORITY APPLN. INFO.: KR 1996-30278 19960720

AB A method of preventing harmful insects of apple orchards is provided which reduces the scattering amount and times of the agricultural chems. with a polymer coating method using chitin or chitosan as a main component. Chitin, chitosan, or the hydrates thereof, or the chitin derives such as

carboxymethyl chitin, glycol chitin, ethyleneglycol chitin, and sulfated chitin is dissolved in water or weak acid solution to concentration of 0.5 to 30%. The solution is coated or sprayed on the infected sites of apply tree by harmful insects to form a polymer coating film which prevents the growth of a pathogen, the scattering of a spore, and the growth or propagation of harmful insects such as mites and aphids. The solution comprises further 0.5 to 50% of polyvinyl alc., polyvinyl acetate, polycaprolactone, polylactide, polyglycolide, aliphatic polyester,

pullulan, dextran, carrageenan, alginate or xanthan gum.

L7 ANSWER 4 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1994:603884 CAPLUS

DOCUMENT NUMBER: 121:203884

TITLE: Manufacture of water-resistant edible films with

excellent heat-sealing properties. Okumura, Zenji; Tanaka, Yoshinao

INVENTOR(S):

Okumura, Zenji; Tanaka, Yoshinao
PATENT ASSIGNEE(S):

Osaka Kagaku Gokin Kk, Japan
SOURCE:

Jpn. Kokai Tokkyo Koho, 4 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

JP 05308910 A2 19931122 JP 1992-139886 19920501
PRIORITY APPLN. INFO.: JP 1992-139886 19920501

AB Edible films containing hydrophilic polymers (e.g. pullulan), crosslinking polysaccharide (e.g. Na alginate), polyvalent metal salts (e.g. CaCl2), and plasticizers (polyalcs. such as glycerol), are manufactured for use in packaging of hams, sausages, and other meat

products. Thus, 2 g Na alginate, 11 g pullulan, and 6 g glycerol was dissolved in 100 mL deionized water, stirred, and applied on an ethylene terephthalate film; a 5% Ca lactate was subsequently applied on the surface and dried to recover a water insol. and high heat-sealing (0.50 kg/15 mm width) edible film.

L7 ANSWER 5 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1984:200984 CAPLUS

DOCUMENT NUMBER: 100:200984

TITLE: Surface protecting agent for lithographic plates

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan SOURCE: Jpn. Kokai Tokkyo Koho, 5 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---------------------|---------|--------------|-------------------------|-----------------|
| | | | | | |
| | JP 59029198 | A2 | 19840216 | JP 1982-138337 | 19820809 |
| | CA 1206302 | A1 | 19860624 | CA 1983-433215 | 19830726 |
| PRIC | RITY APPLN. INFO.: | | | JP 1982-138337 A | 19820809 |
| AB | Lithog. plate prote | ctive a | gent contain | ns (1) ≥1 water-soluble | and |
| | film-forming organi | c polym | er selected | from dextrin, soluble | starch, soluble |
| | cellulose ether, so | luble p | olyvinyl com | mpds., pullulan or its | |
| | derivs., stractan, | and alg | inates, 5-35 | weight%, and (2) alka | li |
| | metal or ammonium s | alt of | hexametaphos | sphoric acid 0.02-2 wei | ght%. The agent |
| | retains the insensi | tivity | of the nonim | age areas and sensitiv | ity of the |
| | | | | | |

derivs., stractan, and alginates, 5-35 weight*, and (2) alkali metal or ammonium salt of hexametaphosphoric acid 0.02-2 weight*. The agent retains the insensitivity of the nonimage areas and sensitivity of the image areas, and effectively protects the plate surface. Thus, dextrin with 95* solubility (Cream Dextrin; Matsutani Kagaku Kogyo) 140, dextrin with 90* solubility (White Detrin; same firm) 60 weight parts. were dissolved in H2O 800 weight parts at 40°. After cooling, 33* isobutylnaphthalene sulfonic acid Na salt 5, Na and NH4 salts of hemametaphosphoric acid 2 weight parts each were dissolved to obtain the agent. It was coated on an exposed, developed and dried photosensitive material prepared by coating a mixture of (1) naphthoquinone-1,2-diazido-5-sulfonate of poly(hydroxyphenyl) obtained by polycondensation of acetone and pyrogallol, and (2) a novolac-type cresol-HCHO resin. The coating was removed after storage for 3 days at 60°, and the plates were used in printing. Clear prints were obtained with min. initial loss compare to a control run using protective agents outside the claim.

L9 ANSWER 1 OF 2 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2003:222155 CAPLUS

DOCUMENT NUMBER: 138:242911

TITLE: Edible film formulations containing maltodextrin INVENTOR(S): Zyck, Daniel J.; Dzija, Michael R.; Chapdelaine,

Albert H.

PATENT ASSIGNEE(S): Wm. Wrigley Jr., Co., USA

SOURCE: U.S. Pat. Appl. Publ., 7 pp., Cont.-in-part of U.S.

Ser. No. 682,164.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

| PA. | rent 1 | NO. | | | KIN | | DATE | | | APPL | ICAT: | ION : | NO. | | D | ATE | |
|--------------------|--------------|-----|------|-----|-----|-----|------------|------|-----|-------|-------|-------|-----|-----|-----|-------|-----|
| | 2003 | | | | A1 | | 2003 | | | US 2 | 002- | 4410 | 5 | | 2 | 0020 | 109 |
| | 6740 2003 | | 41 | | | | 2004 | | | US 2 | 001- | 6821 | 64 | | 2 | 0010 | 730 |
| US | 6656 | 493 | | | B2 | | | | | | | | | | | | |
| · · · - | 2003 | | | | | | | | | WO 2 | 002-1 | US21 | 591 | | 2 | 3020. | 709 |
| WO | | | | | | | BB, | | BR, | BY, | CA, | CH, | CN, | CZ, | DE, | DK, | EE, |
| | | • | • | • | • | | IS, | • | | | | | • | • | - | | |
| | | • | | - | - | - | MN, TR, | - | | | | | | | | | |
| | | MD, | RU, | ТĴ, | TM | | · | · | · | · | | · | · | , | · | | |
| | RW: | | | | | | MZ, EE, | | | | | | | | | | |
| | | | | | | | ВJ, | | | | | | | | | | |
| ED | 1453 | | SN, | | | | 2004 | 0000 | | ב חבו | 002 ' | 7564 | 1 1 | | 2. | 0020 | 700 |
| EP | | | | | | | ES, | | | | | | | | | | |
| | | | | | LV, | FI, | RO, | | | | | | | | | | |
| PRIORITY | APP | LN. | INFO | . : | | | | | | | 001-0 | | | | | | |
| | | | | | | | | | | | 002-1 | | | | | | |

Improved edible films for mucoadhesion are provided. AB films include at least three types film forming agents other than pullulan, such as maltodextrins, hydrocolloids and fillers. Preferably, the maltodextrin has a dextrose equivalent of less than 20. Medicaments and other additive agents can also be incorporated into the edible films. In this regard, the edible films can be utilized to deliver or release the medicaments into an oral cavity, such as a pH control agent, an oral care agent, a breath freshening agent, a pharmaceutical agent, a nutraceutical, a salivary stimulant, a vitamin, a mineral, an antimicrobial agent, an anti-plaque agent, an anti-qinqivitis agent, and a tartar or caries control agent, thereby providing effective oral treatment with respect to, for example, oral cleansing and breath freshening. For example, a film was prepared containing maltodextrin 36.00%, sodium alginate 22.15%, microcryst. cellulose 20.00%, glycerin 7.30%, flavor 11.00%, lecithin 2.00%, sweetener 1.50%, and color 0.05%.

L9 ANSWER 2 OF 2 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2003:117597 CAPLUS

DOCUMENT NUMBER: 138:158577

TITLE: Improved edible film formulations containing

maltodextrin

INVENTOR(S): Chapdelaine, Albert H.; Zyck, Daniel; Dzija, Michael

J.

PATENT ASSIGNEE(S): Wm. Wrigley Jr. Company, USA

SOURCE: PCT Int. Appl., 18 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent English

LANGUAGE:

LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| | TENT | | | | | | | | | | | | | | D. | ATE | |
|---------|-------|------|------|-------|-----------|-----|------|------|-----|------|-------|------|-----|-----------|-----|------|-----|
| | | | | | | - | | | | | | | | - | - | | |
| WO | 2003 | 0112 | 59 | | A1 | | 2003 | 0213 | , | WO 2 | 002-1 | US21 | 591 | | 2 | 0020 | 709 |
| WO | 2003 | 0112 | 59 | | C1 | | 2003 | 0320 | | | | | | | | | |
| | W: | AL, | AM, | ΑT, | AU, | ΑZ, | BB, | BG, | BR, | BY, | CA, | CH, | CN, | CZ, | DE, | DK, | EE, |
| | | ES, | FI, | GB, | GE, | ΗU, | IS, | JP, | KΕ, | KG, | ΚP, | KR, | KZ, | LK, | LR, | LS, | LT, |
| | | LU, | LV, | MD, | MG, | MK, | MN, | MW, | MX, | NO, | NZ, | PL, | PT, | RO, | RU, | SD, | SE, |
| | | SG, | SI, | SK, | TJ, | TM, | TR, | TT, | UA, | UG, | UΖ, | VN, | AM, | ΑZ, | BY, | KG, | ΚZ, |
| | | MD, | RU, | ТJ, | TM | | | | | | | | | | | | |
| | RW: | GH, | GM, | KΕ, | LS, | MW, | MZ, | SD, | SL, | SZ, | TZ, | UG, | ZM, | ZW, | ΑT, | BE, | BG, |
| | | CH, | CY, | CZ, | DE, | DK, | EE, | ES, | FI, | FR, | GB, | GR, | ΙE, | IT, | LU, | MC, | NL, |
| | | PT, | SE, | SK, | TR, | BF, | ВJ, | CF, | CG, | CI, | CM, | GA, | GN, | GQ, | GW, | ML, | MR, |
| | | NE, | SN, | TD, | TG | | | | | | | | | | | | |
| US | 2003 | 0358 | 41 | | A1 | | 2003 | 0220 | 1 | US 2 | 001- | 6821 | 64 | | 2 | 0010 | 730 |
| US | 6656 | 493 | | | B2 | | 2003 | 1202 | | | | | | | | | |
| US | 2003 | 0540 | 39 | | A1 | | 2003 | 0320 | 1 | US 2 | 002- | 4410 | 5 | | 2 | 0020 | 109 |
| | 6740 | | | | | | 2004 | 0525 | | | | | | | | | |
| EP | 1453 | 488 | | | A1 | | 2004 | 0908 |] | EP 2 | 002- | 7564 | 11 | | 2 | 0020 | 709 |
| | R: | ΑT, | BE, | CH, | DE, | DK, | ES, | FR, | GB, | GR, | IT, | LI, | LU, | NL, | SE, | MC, | PT, |
| | | ΙE, | SI, | LT, | LV, | FI, | RO, | MK, | CY, | AL, | TR, | BG, | CZ, | EE, | SK | | |
| PRIORIT | Y APP | LN. | INFO | . : | | | | | 7 | US 2 | 001- | 6821 | 64 | 7 | A 2 | 0010 | 730 |
| | | | | | | | | | 1 | US 2 | 002-4 | 4410 | 5 | 1 | A 2 | 0020 | 109 |
| | | | | | | | | | | | 002-1 | | | | | 0020 | |
| | | | | c · · | _ | | | 22 | • | | | | | | | | |

AB Improved edible films for mucoadhesion are provided. The films include at least three types film forming agents other than pullulan, such as maltodextrins, hydrocolloids and fillers. Preferably, the maltodextrin has a dextrose equivalent of less than 20. Medicaments and other additive agents can also be incorporated into the edible films. In this regard, the edible films can be utilized to deliver or release the medicaments into an oral cavity, thereby providing effective oral treatment with respect to, for example, oral cleansing and breath freshening. For example, a film for oral hygiene was prepared containing maltodextrin 25.95%, sodium alginate 22.50%, microcryst. cellulose 25.75%, glycerin 12.25%, menthol 1.00%, chlorhexidine 1.85%, flavor 9.40%, sweetener 1.25%, and color 0.05%.

REFERENCE COUNT:

1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L10 ANSWER 1 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:480873 CAPLUS

DOCUMENT NUMBER: 145:47597

TITLE: Water-soluble film perfume soap containing pullulan

with cleaning and sterilizing effects

INVENTOR(S): Sha, Decheng; Monzie, Bernard

PATENT ASSIGNEE(S): Can.

SOURCE: Faming Zhuanli Shenging Gongkai Shuomingshu, 11 pp.

CODEN: CNXXEV

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

CN 1772862 A 20060517 CN 2004-10086629 20041111

PRIORITY APPLN. INFO.: CN 2004-10086629 20041111

AB The title water-soluble film perfume soap is prepared from soap material 20-80 wt%, and water-soluble film forming material containing pullulan 20-80 wt%, wherein the soap material contains (by weight) surfactant 3-55%, bactericide 1-5%, skin care component 2-10%, soap perfume 1-5%, and coating agent (cyclodextrin) 1-5%.

L10 ANSWER 2 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:84295 CAPLUS

DOCUMENT NUMBER: 144:128048

TITLE: Method of preparing refreshing tablet with liquid

pullulan

INVENTOR(S): Tong, Qunyi; Yu, Fengjun; Liang, Junying

PATENT ASSIGNEE(S): Southern Yangtze University, Peop. Rep. China;

Qingqing Foodstuff Co., Ltd.

SOURCE: Faming Zhuanli Shenqing Gongkai Shuomingshu, 6 pp.

CODEN: CNXXEV

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|-------------------------|----------|------------|--------------------------|----------|
| | | | | |
| CN 1602716 | Α | 20050406 | CN 2004-10065764 | 20041116 |
| PRIORITY APPLN. INFO.: | | | CN 2004-10065764 | 20041116 |
| AB The title refreshing | g tablet | t contains | 60-70% pullulan solution | |

The title refreshing tablet contains 60-70% pullulan solution 35-40, acetic ester starch 23-27, sodium alginate 3-4, mint alc. 11-13, mint essence 6-7, eucalyptus oil 2-3, Me salicylate 2-3, polyoxyethylene sorbitan ester-80 0.6-0.7, monostearate glyceride 0.2-0.3, gum arabic 2-3, octenyl. succinate starch ester 1-2, glycerol 1-2, sorbic alc. 1-2%, proper sweetening agent and pigment (lemon yellow or bright blue). The acetic ester starch can be substituted by hydroxypropyl starch, carboxymethyl starch, oxide starch, Me cellulose or CM-cellulose. The mint essence can be substituted by strawberry essence, lemon essence or thymol. The arabic gum can be substituted by carrageen gum, konjaku flour or gelatin. The sweetening agent is sucrose, glucose, gluside or Aspartame. The product is prepared by continuous filming process containing slurry material flowing to purple copper or stainless steel belt through 0.4-0.

L10 ANSWER 3 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2005:1169492 CAPLUS

DOCUMENT NUMBER: 144:329819

TITLE: Dextransucrase production by Leuconostoc mesenteroides

AUTHOR(S): Purama, Ravi Kiran; Goyal, Arun

Department of Biotechnology, Indian Institute of Technology Guwahati, Assam, 781 039, India CORPORATE SOURCE:

Indian Journal of Microbiology (2005), 45(2), 89-101 SOURCE:

CODEN: IJMBAC; ISSN: 0046-8991

Association of Microbiologists of India PUBLISHER:

DOCUMENT TYPE: Journal; General Review

English LANGUAGE:

A review. Microbes produce an array of exopolysaccharides which form a biofilm around the cells facilitating attachment of the cells to surface, colonization and providing protection against unfavorable conditions.

Xanthan, alginate, pullulan, dextran, alternan, levan and inulan are some of the examples. Dextran, alternan, levan and inulan are produced by a group of bacteria belonging to Lactobacillus family. These compds. are derived from sucrose derivs. like glucose and fructose, where glucose gets polymerized to dextran while fructose is used as energy source by the exocellular or cell membrane bound enzymes. The gram-pos. Leuconostoc mesenteroides NRRL B-512F, which synthesizes the extracellular homopolysaccharide dextran, is an extensively used organism for the industrial production of dextransucrase. Dextran gained importance owing to its applications in the pharmaceutical, food, photo film manufacturing and fine chemical industries. The maintenance and production media

composition and

culture conditions have been optimized for the large scale production of dextransucrase. Low cost carbon and nitrogen sources like sugar-beet molasses, corn steep liquor and wheat bran extract have been successfully employed for large-scale preparation of dextransucrase by fermentation process. Mutants were developed and fermentation techniques like batch, semi-continuous fermentation by free and immobilized cells were tried to economize com.

production

of dextransucrase. Present communication reviews the available information on cultural conditions and nutritional requirements for the production of dextransucrase by Leuconostoc sp.

REFERENCE COUNT:

THERE ARE 85 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L10 ANSWER 4 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

85

ACCESSION NUMBER: 2004:902127 CAPLUS

DOCUMENT NUMBER: 141:370567

TITLE:

Homogeneous, thermoreversible alginate films and soft

capsules made therefrom

Modliszewski, James J.; Ballard, Arthur D.; Sewall, INVENTOR(S):

Christopher J.; Blakemore, William R.; Riley, Peter J.

PATENT ASSIGNEE(S): FMC Corporation, USA SOURCE: PCT Int. Appl., 49 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT NO. | KIND DATE | APPLICATION NO. | DATE | | | |
|----------------|-------------------|-------------------------|-------------|--|--|--|
| | | | | | | |
| WO 2004091538 | A2 20041028 | WO 2004-US11907 | 20040414 | | | |
| WO 2004091538 | A3 20050407 | | | | | |
| WO 2004091538 | B1 20050526 | | | | | |
| W: AE, AG, AI | , AM, AT, AU, AZ, | BA, BB, BG, BR, BW, BY, | BZ, CA, CH, | | | |
| CN, CO, CF | , CU, CZ, DE, DK, | DM, DZ, EC, EE, EG, ES, | FI, GB, GD, | | | |
| GE, GH, GM | , HR, HU, ID, IL, | IN, IS, JP, KE, KG, KP, | KR, KZ, LC, | | | |
| LK, LR, LS | , LT, LU, LV, MA, | MD, MG, MK, MN, MW, MX, | MZ, NA, NI, | | | |
| NO, NZ, OM | , PG, PH, PL, PT, | RO, RU, SC, SD, SE, SG, | SK, SL, SY, | | | |
| TJ, TM, TN | , TR, TT, TZ, UA, | UG, US, UZ, VC, VN, YU, | ZA, ZM, ZW | | | |
| RW: BW, GH, GM | , KE, LS, MW, MZ, | SD, SL, SZ, TZ, UG, ZM, | ZW, AM, AZ, | | | |
| BY, KG, KZ | , MD, RU, TJ, TM, | AT, BE, BG, CH, CY, CZ, | DE, DK, EE, | | | |
| ES, FI, FR | , GB, GR, HU, IE, | IT, LU, MC, NL, PL, PT, | RO, SE, SI, | | | |

```
SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN,
            TD, TG
     CA 2522298
                         AΑ
                               20041028
                                          CA 2004-2522298
                                                                 20040414
                                          US 2004-824793
                        A1
                               20050113
                                                                 20040414
     US 2005008677
                                          US 2004-824688
                                                                 20040414
    US 2005014852
                        A1
                               20050120
                               20050120 US 2004-824957
     US 2005013847
                        A1
                                                                 20040414
                               20050127 US 2004-824860
    US 2005019374
                       A1
                                                                 20040414
    US 2005019294
                       A1
                               20050127 US 2004-824919
     US 2005019295
                        A1
                               20050127 US 2004-824956
                                                                 20040414
     US 2005048185
                               20050303 US 2004-824977
                        A1
                                                                 20040414
                               20050421 US 2004-824689
20060208 EP 2004-759583
    US 2005084516
                        A1
                                                                 20040414
    EP 1622594
                        A2
                                                                 20040414
            AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
        R:
            IE, SI, FI, RO, CY, TR, BG, CZ, EE, HU, PL, SK
                                         BR 2004-9334
    BR 2004009334
                        Α
                               20060425
                                                                 20040414
    CN 1791417
                         Α
                               20060621
                                          CN 2004-80013896
                                                                 20040414
    CN 1791388
                        Α
                               20060621
                                          CN 2004-80013902
                                                                 20040414
    CN 1791382
                        Α
                               20060621
                                          CN 2004-80013903
                                                                 20040414
    CN 1791389
                       Α
                               20060621
                                          CN 2004-80013907
                                                                 20040414
     CN 1791385
                       Α
                               20060621
                                          CN 2004-80014006
                                                                 20040414
                        Α
    CN 1794979
                               20060628
                                          CN 2004-80014023
                                                                 20040414
PRIORITY APPLN. INFO.:
                                          US 2003-462617P
                                                             P 20030414
                                          US 2003-462721P
                                                            P 20030414
                                          US 2003-462758P
                                                            P 20030414
                                          US 2003-462783P
                                                            P 20030414
                                          US 2003-462785P
                                                            P 20030414
                                          US 2003-462792P
                                                             P 20030414
                                          US 2003-462793P
                                                             P 20030414
                                          US 2003-462794P
                                                             P 20030414
                                          WO 2004-US11907
                                                           W 20040414
ΔR
    The present invention is directed to a homogeneous, thermoreversible gel
    film comprising a film forming amount of a water soluble, thermoreversible
    alginate, and optionally at least one of a plasticizer, a second film
    former, a bulking agent, and a pH controlling agent; and processes for the
    preparation thereof. The present invention is also directed to soft capsules
    and solid forms containing the gel film, as well as processes for the
preparation
    thereof. A formulation was prepared containing water 840.3, propylene glycol
     alginate 91.2, hydroxyethyl cellulose 1.9, kappa carrageenan 24.0,
    potassium citrate 2.9, starch 207.8, sorbitol 264.4, and glycerin 88.2 g.
    The formulation showed sufficient dry film strength for use in soft
    capsule manufacture
L10 ANSWER 5 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN
ACCESSION NUMBER:
                        2004:902118 CAPLUS
DOCUMENT NUMBER:
                        141:370561
                        Delivery systems of homogeneous thermoreversible
TITLE:
                        alginate films
                        Ballard, Arthur D.; Sewall, Christopher J.;
INVENTOR(S):
                        Modliszewski, James J.; Blakemore, William R.; Riley,
                        Peter J.
```

PATENT NO. KIND DATE APPLICATION NO. DATE -----____ ---------------A2 WO 2004091528 20041028 20040414 WO 2004-US11600 WO 2004091528 A3 20050127 WO 2004091528 B1 20050324

FMC Corporation, USA PCT Int. Appl., 38 pp.

CODEN: PIXXD2

Patent

English

PATENT ASSIGNEE(S):

FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

DOCUMENT TYPE:

SOURCE:

LANGUAGE:

```
W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH,
             CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD,
             GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
             LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI,
             NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY,
             TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
         RW: BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ,
             BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE,
             ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI,
             SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN,
             TD, TG
     US 2005008677
                           A1
                                 20050113
                                             US 2004-824793
                                                                      20040414
     US 2005014852
                          A1
                                 20050120
                                             US 2004-824688
                                                                      20040414
     US 2005013847
                         A1
                                 20050120
                                             US 2004-824957
                                                                      20040414
     US 2005019374
                         A1
                                 20050127
                                             US 2004-824860
                                                                      20040414
                         A1
                                 20050127
                                             US 2004-824919
                                                                      20040414
     US 2005019294
                         A1
                                 20050127
                                             US 2004-824956
                                                                     20040414
     US 2005019295
     US 2005048185
                         A1
                                 20050303
                                           US 2004-824977
                                                                     20040414
                                           US 2004-824689
EP 2004-759551
     US 2005084516
                         A1
                                 20050421
                                                                      20040414
     EP 1622588
                          A2
                                 20060208
                                                                      20040414
             AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, FI, RO, CY, TR, BG, CZ, EE, HU, PL, SK
     BR 2004009336
                                 20060425
                                            BR 2004-9336
                                                                      20040414
                         Α
     CN 1791417
                                             CN 2004-80013896
                          Α
                                 20060621
                                                                      20040414
     CN 1791388
                         Α
                                 20060621
                                             CN 2004-80013902
                                                                      20040414
                        A 20060621
A 20060621
A 20060621
A 20060628
                                             CN 2004-80013903
     CN 1791382
                                                                      20040414
                                             CN 2004-80013907
     CN 1791389
                                                                      20040414
                                             CN 2004-80014006
     CN 1791385
                                                                      20040414
                                             CN 2004-80014023
     CN 1794979
                                                                      20040414
PRIORITY APPLN. INFO.:
                                             US 2003-462617P P 20030414
                                             US 2003-462721P P 20030414

US 2003-462758P P 20030414

US 2003-462783P P 20030414

US 2003-462785P P 20030414
                                             US 2003-462792P
                                                                 P 20030414
                                             US 2003-462793P
                                                                 P 20030414
                                             US 2003-462794P
                                                                 P
                                                                     20030414
                                             WO 2004-US11600
                                                                  W 20040414
AB
     The present invention is directed to a delivery system comprising a
     homogeneous, thermoreversible gel film, wherein the gel film comprises:
     agent, and a pH controlling agent; and (ii) an active substance. The
```

(i) a film forming amount of water soluble thermoreversible alginate and optionally at least one of a plasticizer, a second film former, a bulking present invention is also directed to a process for the manufacture thereof. film formulation for preparation of capsule contained water 834.7, kappa-2 carrageenan 40.5, potassium alginate 31.5, propylene glycol alginate 18.0, M-100 227.3, sorbitol 272.2, and glycerin 90.8 g.

L10 ANSWER 6 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2000:227714 CAPLUS

DOCUMENT NUMBER: 132:252698

TITLE: Preparation of modified starch film-forming

compositions and applications thereof

INVENTOR(S): Scott, Robert Anthony; Cade, Dominique; He, Xiongwei

PATENT ASSIGNEE(S): Warner-Lambert Company, USA

SOURCE: PCT Int. Appl., 20 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE _ _ _ _ -----_____

```
WO 1999-US18139
     WO 2000018835
                               20000406
                                                                  19990811
            AE, AL, AU, BA, BB, BG, BR, CA, CN, CR, CU, CZ, DM, EE, GD, GE,
            HR, HU, ID, IL, IN, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK,
            MN, MX, NO, NZ, PL, RO, SG, SI, SK, SL, TR, TT, UA, UZ, VN, YU,
            ZA, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
        RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK,
            ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG,
            CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                         A1
                               20000331
                                          FR 1998-12246
                                                                  19980930
     US 6635275
                               20031021
                                           US 1999-240504
                                                                 19990129
                         B1
                               20000406
                                          CA 1999-2344292
                                                                  19990811
     CA 2344292
                        AA
                                        AU 1999-53472
     AU 9953472
                        A1
                               20000417
                                                                 19990811
     AU 762692
                        B2
                               20030703
     EP 1117736
                        A1
                               20010725
                                           EP 1999-939129
                                                                 19990811
     EP 1117736
                        B1
                               20040714
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO
     JP 2002525412
                        T2
                               20020813
                                        JP 2000-572289
                                                                  19990811
                               20040715 AT 1999-939129
     AT 271096
                        Ε
                                                                 19990811
     PT 1117736
                        T
                               20041029 PT 1999-939129
                                                                 19990811
                        Т3
     ES 2226418
                               20050316 ES 1999-939129
                                                                 19990811
                       A1
     US 2003211146
                               20031113 US 2003-465508
                                                                 20030619
                        A1
     US 2004105835
                               20040603
                                          US 2003-721489
                                                                 20031125
PRIORITY APPLN. INFO.:
                                           FR 1998-12246
                                                             A 19980930
                                           US 1999-240504
                                                             A 19990129
                                           WO 1999-US18139
                                                             W 19990811
                                           US 2003-465508
                                                             B1 20030619
     The invention relates to compns. from modified starches, such as starch
AB
     ethers or oxidized starch, more particularly hydroxypropylated starch
     (HPS) or hydroxylethylated starch (HES) for the use in pharmaceutical,
     veterinary, food, cosmetic or other products like films for
     wrapping food, aspics or jellies, preferably for predosed formulations
     like soft or hard capsules. The hard capsules obtained by the present
     invention with a conventional dipping molding process are similar to hard
     gelatine capsules (HGC). The film-forming composition consists of
    hydrocolloids and cation wherein the hydrocolloids are selected from
    alginates, agar gum, guar gum, locust bean gum (carob),
     carrageenan, tara gum, gum arabic, ghatti gum, Khaya grandifolia gum,
     tragacanth gum, karaya gum, pectin, arabian (araban), xanthan, gellan,
     starch, Konjac mannan, galactomannan, funoran, acetan, welan, rhamsan,
     furcelleran, succinoglycan, scleroglycan, schizophyllan, tamarind gum,
     curdlan, pullulan, and dextran.
                              THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS
                        5
```

REFERENCE COUNT: RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

```
L10 ANSWER 7 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN
```

ACCESSION NUMBER: 1998:213867 CAPLUS

DOCUMENT NUMBER: 128:281985

TITLE: Effects of additives on the development of edible

films

AUTHOR (S): Shih, Frederick F.

USDA, Agricultural Research Center, Southern Regional CORPORATE SOURCE:

Research Center, New Orleans, LA, 70179, USA

SOURCE: Chemistry of Novel Foods, developed from a Symposium

at the International Chemical Congress of Pacific Basin Societies, Honolulu, Dec. 17-22, 1995 (1997), Meeting Date 1995, 179-186. Editor(s): Spanier,

Arthur M. Allured: Carol Stream, Ill.

CODEN: 65UZAE

DOCUMENT TYPE: Conference LANGUAGE: English

Edible films were prepared using pullulan (a functional polysaccharide), rice starch, and soy protein. Additives were added to facilitate the formation of films and to develop films

with desirable characteristics. Films plasticized with polyethylene glycol, glycerol, or sorbitol showed various degrees of decrease in tensile strength and increase in water vapor permeability and elongation. The incorporation of propylene glycol alginate which crosslinked with the film components enhanced both

film strength and resistance to water vapor.

REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L10 ANSWER 8 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1997:413361 CAPLUS

DOCUMENT NUMBER: 127:82993

TITLE: Development of functional corrugated fiberboard

AUTHOR(S): Nonomura, Toshio

CORPORATE SOURCE: Tokushima Prefect. Ind. Technol. Cent., Tokushima,

770, Japan

SOURCE: Kinoshi Kenkyu Kaishi (1997), Volume Date 1996, 35,

58-61

CODEN: KIKKDD; ISSN: 0288-5867

PUBLISHER: Kinoshi Kenkyukai

DOCUMENT TYPE: Journal LANGUAGE: Japanese

AB To object the development of an environment-friendly packaging material with keeping the degree of freshness function, formation of biodegradable

film (composed of sodium alginate and pullulan

mixture) on the usual liner-boards was investigated. For the purpose of retaining the freshness of NABANA (rape blossoms: flowers of Brassica campestris) the functional corrugated fiberboard was prepared The produced

campestris) the functional corrugated fiberboard was prepared the produce corrugated fiberboard was found to have the function of MA (modified

atmospheric)

packaging and freshness keeping effect on NABANA has been confirmed by its storage test in laboratory

L10 ANSWER 9 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1996:338731 CAPLUS

DOCUMENT NUMBER: 125:8958

TITLE: Edible films from rice protein concentrate and

pullulan

AUTHOR(S): Shih, Frederick F.

CORPORATE SOURCE: Southern Reg. Res. Cent., New Orleans, LA, 70179, USA

SOURCE: Cereal Chemistry (1996), 73(3), 406-409

CODEN: CECHAF; ISSN: 0009-0352

PUBLISHER: American Association of Cereal Chemists

DOCUMENT TYPE: Journal LANGUAGE: English

AB Edible films were prepared using a combination of rice protein concentrate and the polysaccharide pullulan. The protein-

pullulan mixture with up to 50% protein concentrate could be case on a

glass plate into films with tensile strength of about 18 MPa and

water vapor permeability of 40 g + mil/m2 + day + mm Hg.

Film strength and water vapor resistance were improved by the addition of small amts. of propylene glycol alginate under alkaline

condition. Oils were also incorporated into the film for

improved water vapor resistance.

L10 ANSWER 10 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1995:375050 CAPLUS

DOCUMENT NUMBER: 122:142065

TITLE: Cosmetic packs containing water-soluble polymers,

triglucopolysaccharides, and film-forming polymers

INVENTOR(S): Yagi, Hiroshi; Suzuki, Akiko

PATENT ASSIGNEE(S): Kao Corp, Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 7 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent Japanese LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

KIND DATE APPLICATION NO. PATENT NO. DATE PAIGNI NO. ----______ _____ _____ JP 06329525 19941129 JP 1993-118625 19930520 A2 PRIORITY APPLN. INFO.: JP 1993-118625 Cosmetic packs contain (A) water-soluble polymers chosen from CM-cellulose Na, carrageenan, collagen, xanthan gum, Na alginate, and carboxyvinyl polymers, (B) triglucopolysaccharides, and (C) film -forming polymers. The cosmetics spread well and form strong films on the skin. Cosmetic pack containing Gohsenol EG 30 [poly(vinyl alc.)] 8.50, polyethylene glycol 2.00, Glucam E 20 3.00, 70% sorbitol solution 2.00, liquid isoparaffin 3.00, squalane 1.00, Rheodol SP-S 10 0.35, Rheodol TW-S 120 0.70, 55% EtOH 15.00, carboxymethyl chitin 0.80, CMC-12M31PTP (Na CMC) 0.30, Pullulan PI-20 (pullulan)

L10 ANSWER 11 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

1.00, and H2O to 100 weight% was formulated.

ACCESSION NUMBER: 1988:130099 CAPLUS

DOCUMENT NUMBER: 108:130099

Edible food films. TITLE: AUTHOR (S): Ohkami, Takeo

CORPORATE SOURCE: Osaka Kagaku Gokin K. K., Japan New Food Industry (1987), 29(8), 6-10 SOURCE:

CODEN: NYFIAM; ISSN: 0547-0277

DOCUMENT TYPE: Journal; General Review

LANGUAGE: Japanese

A review with no refs., on edible food films, from polysaccharide and protein materials, such as pullulan, collagen, alginate, and gelatin films, for food packaging and other uses.

L10 ANSWER 12 OF 12 CAPLUS COPYRIGHT 2006 ACS on STN

1987:623296 CAPLUS ACCESSION NUMBER:

DOCUMENT NUMBER: 107:223296

Transdermal tapes for mucous membranes TITLE:

Tatara, Mitsutoshi; Ishikawa, Shinichi; Maeda, Shingo; INVENTOR (S):

Morioka, Shigeo

PATENT ASSIGNEE(S): Sato Pharmaceutical Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 6 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|-------------|------|----------|-----------------|----------|
| | | | | |
| JP 62135417 | A2 | 19870618 | JP 1985-275159 | 19851209 |
| JP 07000553 | B4 | 19950111 | | |

PRIORITY APPLN. INFO.: JP 1985-275159 19851209

Slow-release transdermal tapes for mucous membranes are prepared consisting of an adhesive film containing pullulan and/or its derivs., laminated with another film preventing loss of pharmaceuticals into mucous fluids. A solvent (H2O:ethanol = 4:1) was added to a mixture of acetylpullulan 10, a soluble azulene 0.6, fatty acid sucrose ester 2.0, and glycerin 2.0 g, and this mixture was spread 300 μm thick and dried to give an adhesive film. Onto this film was spread 100 µm thick a mixture of Na alginate 2, glycerin 0.5, and H2O to 100 g, and this was dried. To the surface of the alginate film was applied a 5% citric acid solution

(0.1 mL/cm2) to give a transdermal tape.

L12 ANSWER 1 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:413016 CAPLUS

DOCUMENT NUMBER: 140:407914

Polysaccharide-based heat-sealable water-soluble film TITLE:

INVENTOR (S): Miyai, Shunji; Akiba, Masanori; Yoneyama, Masaru;

Chaen, Hiroto; Miyake, Toshio

PATENT ASSIGNEE(S): Kabushiki Kaisha Hayashibara Seibutsu Kagaku Kenkyujo,

Japan

SOURCE: PCT Int. Appl., 39 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

KIND DATE PATENT NO. APPLICATION NO. DATE WO 2004041926 ---------20031107 A1 20040521 WO 2003-JP14200

W: JP, KR, US

RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,

IT, LU, MC, NL, PT, RO, SE, SI, SK, TR

PRIORITY APPLN. INFO.: JP 2002-324414 A 20021107 Title film gives a heat-sealed part which can satisfactorily retain the heat-sealed state even in a dry atmospheric and can be used for food, cosmetic, medicine, or chemical-product package. The water-soluble film comprises ≥1 water-soluble polysaccharides containing no sulfate group, ≥1 water-soluble polysaccharides containing sulfate groups, and ≥1 hydroxy compds. as a material for humidification and contains substantially no crosslinking agent. When heat-sealed, the film gives a heat-sealed part which undergoes substantially no separation under moisture equilibrium

conditions with relative humidity ≤25%. Thus, a composition comprising PI 20 pullulan 20, Genuvisco CSW 2 carrageenan 3, sorbitol 1, Ryoto Sugar Ester S 1670 0.01, glycerin 2, and water 72 parts was applied on a polyethylene terephthalate film, dried at 85° for 10 min, and kept at 25° and 55% RH for 16 h to give a water soluble film, which was heat-sealed at 130° for 3 s for packaging instant coffee.

REFERENCE COUNT: THERE ARE 18 CITED REFERENCES AVAILABLE FOR THIS 18 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 2 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2001:78445 CAPLUS

DOCUMENT NUMBER: 134:149224

TITLE: Pullulan film compositions for wrapping or

encapsulation of cosmetics, food and drugs Scott, Robert; Cade, Dominique; He, Xiongwei

INVENTOR (S): PATENT ASSIGNEE(S): Warner-Lambert Company, USA

SOURCE: PCT Int. Appl., 29 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT NO. | | | | | KIND | | DATE | | APPLICATION NO. | | | | | | DATE | | |
|------------|------|------|-----|-----|------|-----|------|------|-----------------|-------|-------|-------|-----|-----|------|------------|-----|
| | | | | , | | - | | | | | | | | | _ | - - | |
| WO | 2001 | 0075 | 07 | | A1 | | 2001 | 0201 | Ţ | WO 2 | 000-1 | EP684 | 43 | | 2 | 0000 | 718 |
| | W: | CA, | CN, | ID, | JP, | KR, | MX, | US, | AM, | AZ, | BY, | KG, | KZ, | MD, | RU, | ТJ, | TM |
| | RW: | ΑT, | BE, | CH, | CY, | DE, | DK, | ES, | FI, | FR, | GB, | GR, | ΙE, | IT, | LU, | MC, | NL, |
| | | PT, | SE | | | | | | | | | | | | | | |
| EP | 1072 | 633 | | | A1 | | 2001 | 0131 |] | EP 1: | 999- | 40184 | 49 | | 1 | 9990' | 722 |
| | R: | ΑT, | BE, | CH, | DE, | DK, | ES, | FR, | GB, | GR, | IT, | LI, | LU, | NL, | SE, | MC, | PT, |
| | | ΙE, | SI, | LT, | LV, | FI, | RO | | | | | | | | | | |

```
EP 2000-401333
     EP 1157691
                                20011128
                                                                   20000516
                          Α1
         R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO
                                            CA 2000-2380068
                                                                   20000718
     CA 2380068
                          AA
                                20010201
                                20020515
                                            EP 2000-956197
                                                                   20000718
     EP 1204699
                          A1
                                20050608
     EP 1204699
                          B1
            AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, FI, CY
                                20030212
                                            JP 2001-512784
                                                                   20000718
     JP 2003505565
                          T2
                                            AT 2000-956197
                                                                   20000718
     AT 297433
                          E
                                20050615
                                            ES 2000-956197
                                                                   20000718
     ES 2240148
                          Т3
                                20051016
                                                                   20020122
     US 6887307
                          B1
                                20050503
                                            US 2002-31897
     US 2005031853
                         A1
                                20050210
                                            US 2004-941182
                                                                   20040915
                                                                A 19990722
PRIORITY APPLN. INFO.:
                                            EP 1999-401849
                                            EP 2000-401333
                                                                A 20000516
                                            WO 2000-EP6843
                                                                W 20000718
                                            US 2002-31897
                                                                A1 20020122
```

AB The invention concerns compns. based on pullulan and a setting system for the use in pharmaceutical, veterinary, food, cosmetic or other products like films for wrapping food, aspics or jellies, preferably for predosed formulations like soft or hard capsules. The composition contain a gelling agent, e.g., cation, hydrocolloid or polysaccharide, and preferably further contains a surfactant. By using aqueous solution of the inventive compns., the hard pullulan capsules are produced by a conventional dipping molding process under the same process condition range than conventional gelatine capsules.

REFERENCE COUNT: 8 THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L12 ANSWER 3 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1997:710951 CAPLUS

DOCUMENT NUMBER: 127:319835

TITLE: Alginic acid-based gas-permeable films INVENTOR(S): Takechi, Hironori; Nonomura, Toshio

PATENT ASSIGNEE(S): Tokushima Prefecture, Japan SOURCE: Jpn. Kokai Tokkyo Koho, 6 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|--------------------|---------------|--------------|-------------------|----------|
| | | | | |
| JP 09278926 | A2 | 19971028 | JP 1996-87753 | 19960410 |
| PRIORITY APPLN. IN | IFO.: | | JP 1996-87753 | 19960410 |
| AB The title fil | .ms, useful f | or packaging | fruits, preserved | foods, |

etc. by applying on corrugated boards for recyclable carton boxes, are prepared by forming films of aqueous solution of alginic acid and water-soluble compds. [e.g., pullulan, starch, polysaccharides, sucrose, glucose, cyclodextrin, poly(vinyl alc.)], coagulating alginic acid with polyvalent salts (e.g., CaCl2, BaCl2, Al sulfate, Zn sulfate, Cu sulfate, Sr chloride), and removing the water-soluble compds. to adjust gas permeability.

L13 ANSWER 1 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:120708 CAPLUS

DOCUMENT NUMBER: 140:169672

TITLE: Process for making orally consumable biopolymeric

films

INVENTOR(S): Auffret, Anthony David; Benee, Lisa Suzanne

PATENT ASSIGNEE(S): Pfizer Limited, UK; Pfizer Inc.

SOURCE: PCT Int. Appl., 24 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
DATE
                                         APPLICATION NO.
    PATENT NO.
                       KIND
                                                               DATE
     -----
                                          -----
                                                                 _____
                        ----
                               -----
    WO 2004012720
                        A1
                               20040212
                                          WO 2003-IB3244
                                                                 20030716
    WO 2004012720
                               20040415
                        C1
            AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
            GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
            LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM,
            PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT,
            TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
            KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
            FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR,
            BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
    CA 2493786
                         AA
                               20040212
                                        CA 2003-2493786
                                                               20030716
    AU 2003247083
                         A1
                               20040223
                                          AU 2003-247083
                                                                 20030716
    EP 1534253
                         A1
                               20050601
                                          EP 2003-766550
                                                                 20030716
            AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
    BR 2003012993
                        Α
                               20050628
                                         BR 2003-12993
                                                                 20030716
    JP 2006503003
                         T2
                               20060126
                                          JP 2004-525675
                                                                 20030716
    US 2004131661
                         A1
                               20040708
                                          US 2003-626811
                                                                 20030723
PRIORITY APPLN. INFO.:
                                          GB 2002-17382
                                                              A 20020726
                                          US 2002-403887P
                                                              P 20020816
                                          WO 2003-IB3244
                                                              W 20030716
```

AB The present invention is concerned with a process for making rapidly dissolving and dispersing dosage forms, particularly orally consumable films, made of a hydrated polymer comprising pullulan and sodium alginate having a viscosity suitable for casting, for the delivery of pharmaceutically active agents. For example, pullulan (20.0 g) and sodium alginate (1.0 g) were dissolved in water (100 mL) and the pH of the resulting gel was adjusted to 3.5 with hydrochloric acid. To 31.7 g of the gel was added ibuprofen (3.5 g) and a film was prepared by applying the gel to a glass plate, and the resulting film was dried at 80° for 30 min. When dry, the film provided an ibuprofen concentration of 36.6% weight/weight, i.e., about

32 mg of ibuprofen in a film 2.2 cm x 3.2 cm.

L13 ANSWER 2 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1993:493857 CAPLUS

DOCUMENT NUMBER: 119:93857

TITLE: Size exclusion chromatography with viscosity detection

of complex polysaccharides: Component analysis Hoagland, Peter D.; Fishman, Marshall L.; Konja,

AUTHOR(S): Hoagland, Peter D.; Fishma Gordana; Clauss, Ekkehard

CORPORATE SOURCE: East. Red. Res. Cent., Agric. Res. Serv.,

Philadelphia, PA, 19118, USA

SOURCE: Journal of Agricultural and Food Chemistry (1993),

41(8), 1274-81

CODEN: JAFCAU; ISSN: 0021-8561

DOCUMENT TYPE: Journal LANGUAGE: English

Complex polysaccharides obtained from plants and microbes are finding AB increased application in the food industry as additives to improve the functional properties of processed foods. High-performance size exclusion chromatog. (HPSEC) with concentration-viscosity detection, coupled with Gaussian

curve fitting of concentration and viscosity chromatograms, a method earlier developed to investigate the behavior of a variety of pectins in solution, has now been applied to tragacanthin, gum locust bean,

(carboxymethyl)cellulose, sodium alginates, apple pectin, and

gum arabic. Weight-average intrinsic viscosities (i.v.) were determined directly from

areas under the concentration and specific viscosity curves. In addition, global

and component radii of gyration (Rgw) and mol. wts. (MWw) were determined from both size and universal calibration of columns with pullulans. Gaussian component i.v. and component Rgw values of some polysaccharides, investigated in 0.05 M NaNO3 at 35°, were found to be related by a characteristic power law exponent. HPSEC with concentration and viscosity detection has good potential for rapidly determining phys. properties crucial to control of quality of polysaccharides in the food industry.

L13 ANSWER 3 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1990:42641 CAPLUS

DOCUMENT NUMBER: 112:42641

Apatite fiber filling materials for bone defects TITLE: Mori, Shoichi; Egawa, Kazufumi; Yoshizawa, Masao INVENTOR(S):

Toa Nenryo Kogyo K. K., Japan PATENT ASSIGNEE(S): SOURCE:

Jpn. Kokai Tokkyo Koho, 6 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------------------------|------|----------|-----------------|----------|
| | | | | |
| JP 01034372 | A2 | 19890203 | JP 1987-192033 | 19870731 |
| PRIORITY APPLN. INFO.: | | | JP 1987-192033 | 19870731 |

The title fibers are prepared by coating or impregnating apatite fibers with biolog. compatible polymers [e.g., alginic acid (I)]. Thus, an aqueous composition containing 42% hydroxyapatite powder and 9% pullulan was spun, blown onto a drum, and fired 1 h at 1100° to give fibers with good toughness. The fibers were then impregnated with aqueous 1.0% I and dried to give fibers with apatite content 82.9-85.9%. New bone formation was rapid around fillers in cats with artificially formed bone defects.

L14 ANSWER 1 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:428817 CAPLUS

DOCUMENT NUMBER: 140:429013

TITLE: Fast-disintegrating solid dosage forms being

non-friable and comprising pullulan

INVENTOR(S): Pruitt, John D.; Hovey, Douglas C.; Ryde, Tuula A.;

Bosch, H. William; Lee, Robert W.

PATENT ASSIGNEE(S): Elan Pharma International Ltd., Ire.

SOURCE: PCT Int. Appl., 58 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| | PA' | ENT | NO. | | | KINI | | DATE | | | APPL | ICAT | ION I | NO. | | D | ATE | | |
|-------|-----|------|-----------|-----|-----|------|-----|------|------|-----|------|-------|-------|-----|-----|-----|-------|-----|----|
| | WO | 2004 | 0434 | 40 | | | | 2004 | 0527 | 1 | WO 2 | 003-1 | US35 | 915 | | 20 | 0031 | 112 | |
| | | W: | ΑE, | AG, | AL, | AM, | AT, | AU, | ΑZ, | BA, | BB, | BG, | BR, | BW, | BY, | ΒZ, | CA, | CH, | |
| | | | CN, | co, | CR, | CU, | CZ, | DE, | DK, | DM, | DZ, | EC, | EE, | EG, | ES, | FI, | GB, | GD, | |
| | | | GE, | GH, | GM, | HR, | HU, | ID, | IL, | IN, | IS, | JP, | KE, | KG, | KP, | KR, | KZ, | LC, | |
| | | | LK, | LR, | LS, | LT, | LU, | LV, | MA, | MD, | MG, | MK, | MN, | MW, | MX, | MZ, | NI, | NO, | |
| | | | | | | | | PT, | | | - | | - | - | | - | - | - | |
| | | | | | | | | UA, | | | | | | | | | | • | |
| | | RW: | • | • | • | • | | MW, | • | • | • | • | • | • | • | • | | AZ. | |
| | | | • | • | • | | | TJ, | • | | • | • | • | • | | | • | • | |
| | | | | | | | | HU, | | | | | | | | | | | |
| | | | • | • | • | • | • | CI, | • | • | • | • | • | • | • | • | • | • | TG |
| | CA | 2504 | • | • | • | AA | • | 2004 | • | • | • | | • | • | • | • | • | • | |
| | ΑIJ | 2003 | 2972 | 50 | | A1 | | 2004 | | | | | | | | | | | |
| | - | 2004 | - | | | | | 2004 | | | | | | | | | | | |
| | | 1585 | | | | | | | | | | | | | | | | | |
| | | | | | | | | ES, | | | | | | | | | | | |
| | | | - | _ | - | - | | RO, | - | - | - | - | _ | - | | - | | , | |
| | ,TP | 2006 | • | • | | - | • | • | • | • | • | • | | | - | • | | 112 | |
| PRIOF | | | | | | | | | 0000 | | | | 42526 | | | | | | |
| | | | . | | • • | | | | | | | | JS359 | | | | | | |
| | | - | - | | | _ | | _ | _ | | | , , , | | | • | . 2 | ,,,,, | | |

AB Disclosed are solid dosage forms of active agents and pullulan. The solid dosage form has a friability of less than about 1%. A rapidly dissolving solid dosage

form of an active compound was prepared containing pullulan and PVP and mannitol.

REFERENCE COUNT:

7 THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L14 ANSWER 2 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER:

2004:120708 CAPLUS

DOCUMENT NUMBER:

140:169672

TITLE:

Process for making orally consumable biopolymeric

films

INVENTOR(S):

Auffret, Anthony David; Benee, Lisa Suzanne

PATENT ASSIGNEE(S): Pfizer Limited, UK; Pfizer Inc.

SOURCE:

PCT Int. Appl., 24 pp.

CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|---------------|------|----------|-----------------|----------|
| | | | | |
| WO 2004012720 | A1 | 20040212 | WO 2003-IB3244 | 20030716 |
| WO 2004012720 | C1 | 20040415 | | |

```
W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
            GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
            LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM,
            PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT,
            TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
         RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
            KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
            FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR,
            BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
                               20040212 CA 2003-2493786
                         AA
     CA 2493786
                                         AU 2003-247083
    AU 2003247083
                         A1
                               20040223
                                         EP 2003-766550
     EP 1534253
                               20050601
                                                                  20030716
                         A1
            AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
                        Α
                               20050628
                                          BR 2003-12993
                                                                  20030716
     BR 2003012993
     JP 2006503003
                         T2
                               20060126
                                           JP 2004-525675
                                                                  20030716
                                           US 2003-626811
    US 2004131661
                         A1
                               20040708
                                                                  20030723
                                           GB 2002-17382
PRIORITY APPLN. INFO.:
                                                              A 20020726
                                           US 2002-403887P
                                                              P 20020816
                                                             W 20030716
                                           WO 2003-IB3244
     The present invention is concerned with a process for making
AB
     rapidly dissolving and dispersing dosage forms,
    particularly orally consumable films, made of a hydrated polymer
     comprising pullulan and sodium alginate having a viscosity
     suitable for casting, for the delivery of pharmaceutically active agents.
     For example, pullulan (20.0 g) and sodium alginate (1.0 g) were
    dissolved in water (100 mL) and the pH of the resulting gel was adjusted
     to 3.5 with hydrochloric acid. To 31.7 g of the gel was added ibuprofen
     (3.5 g) and a film was prepared by applying the gel to a glass plate, and
     the resulting film was dried at 80° for 30 min. When dry, the film
    provided an ibuprofen concentration of 36.6% weight/weight, i.e., about 32 mg
of
     ibuprofen in a film 2.2 cm x 3.2 cm.
L14 ANSWER 3 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN
ACCESSION NUMBER:
                        2002:31226 CAPLUS
DOCUMENT NUMBER:
                        136:90917
                        Rapidly disintegrating pharmaceutical dosage forms and
TITLE:
                        method for preparation
INVENTOR(S):
                        von Falkenhausen, Christian; Krumme, Markus; Laux,
                        Wolfgang
                        LTS Lohmann Therapie-Systeme A.-G., Germany
PATENT ASSIGNEE(S):
                        PCT Int. Appl., 21 pp.
SOURCE:
                        CODEN: PIXXD2
DOCUMENT TYPE:
                        Patent
                        German
LANGUAGE:
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
    PATENT NO.
                        KIND
                               DATE
                                          APPLICATION NO.
                                                                 DATE
                        ----
                               -----
                                           -----
                                                                  _____
    WO 2002002085
                                           WO 2001-EP7051
                                                                  20010622
                         A2
                               20020110
    WO 2002002085
                        A3
                               20020620
        W: AU, BR, CA, CN, CZ, HU, IL, IN, JP, KR, MX, NZ, PL, RU, US, ZA
        RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,
            PT, SE, TR
    DE 10032456
                                           DE 2000-10032456
                         A1
                               20020131
                                                                  20000704
                               20030106
    CA 2414665
                         AA
                                           CA 2001-2414665
                                                                  20010622
                         AA
    CA 2506712
                               20030106
                                           CA 2001-2506712
                                                                  20010622
    EP 1296661
                               20030402
                                         EP 2001-945296
                        A2
                                                                 20010622
            AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, FI, CY, TR
                               20031202
    BR 2001012495
                         Α
                                          BR 2001-12495
                                                                  20010622
```

```
JP 2004501958
                               T2
                                      20040122
                                                    JP 2002-506707
                                                                                20010622
     EP 1588701
                                      20051026 EP 2005-10436
                                                                                20010622
                               A2
          R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
               IE, FI, CY, TR
     NZ 523426
                              Α
                                      20051223
                                                 NZ 2001-523426
                                                                                20010622
     CN 1720916
                              Α
                                      20060118 CN 2005-10089198
                                                                                20010622
                                      20030522 ZA 2003-316
                                                                                20030113
     ZA 2003000316
                             Α
                                      20040212 US 2003-332064
                                                                                20030227
     US 2004028732
                             A1
     AU 2005202270
                             A1
                                      20050616 AU 2005-202270
                                                                                20050525
                                                    JP 2005-168248
                                                                                20050608
     JP 2005255694
                             A2
                                      20050922
                                                    DE 2000-10032456 A 20000704
AU 2001-67552 A3 20010622
CA 2001-2414665 A3 20010622
CN 2001-812333 A3 20010622
EP 2001-945296 A3 20010622
JP 2002-506707 A3 20010622
WO 2001-EP7051 W 20010622
PRIORITY APPLN. INFO.:
```

The invention relates to flat administrable drug delivery forms (wafers) which decompose or dissolve rapidly in an aqueous medium, and rapidly release active ingredients in the oral cavity, in body openings and body cavities. The drug delivery systems comprise a matrix which contains at least one water-soluble polymer; in addition to at least one active ingredient; and is characterized in that the polymer matrix incorporates cavities or bubbles. The oral formulations exhibit improved mouth feel. Thus 111.43 g distilled water was mixed with 22.38 g Mowiol 8-88 at 80°C for 30 min; after cooling to 40°C 1.8 g PEG 400 and 1.8 g PEG 4000 were added, and the mixture was homogenized. Further components were added (g): aspartame 0.18; aroma 5.58; nicotine hydrogentartrate 26.46; silica 1.8. Mixing was continued below 50°C for 2 h and foam was beaten; the foam was spread and dried.

L14 ANSWER 4 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2001:713109 CAPLUS

DOCUMENT NUMBER: 135:262242

TITLE: Fast dissolving orally consumable films containing an

ion exchange resin as a taste masking agent

INVENTOR(S): Bess, William S.; Kulkarni, Neema; Ambike, Suhas H.;

Ramsay, Michael Paul

PATENT ASSIGNEE(S): Warner-Lambert Company, USA

SOURCE: PCT Int. Appl., 41 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PA | rent : | NO. | | | KIN | . | DATE | | | APPL: | ICAT: | ION 1 | . O <i>l</i> | | D | ATE | |
|----|--------|-------|--------|-----|-----|----------|------|----------|-----|-------|-------|-------|--------------|-----|-----|------|-----|
| WO | 2001 | 0701: | 94 | | A1 | - | 2001 | 0927 | • | WO 20 | 001- | US21 | 92 | | 2 | 0010 | 123 |
| | W: | ΑE, | AG, | AL, | AU, | BA, | BB, | BG, | BR, | ΒZ, | CA, | CN, | CR, | CU, | CZ, | DM, | DZ, |
| | | EE, | GD, | GΕ, | HR, | ΗU, | ID, | IL, | IN, | IS, | JP, | ΚP, | KR, | LC, | LK, | LR, | LT, |
| | | LV, | MA, | MG, | MK, | MN, | MX, | MZ, | NO, | NZ, | PL, | RO, | SG, | SI, | SK, | SL, | TR, |
| | | TT, | UA, | UΖ, | VN, | ΥU, | ZA, | AM, | ΑZ, | BY, | KG, | ΚZ, | MD, | RU, | ТJ, | TM | |
| | RW: | GH, | GM, | KΕ, | LS, | MW, | MZ, | SD, | SL, | SZ, | TZ, | UG, | ZW, | ΑT, | BE, | CH, | CY, |
| | | DE, | DK, | ES, | FI, | FR, | GB, | GR, | ΙE, | ΙT, | LU, | MC, | NL, | PT, | SE, | TR, | BF, |
| | | ВJ, | CF, | CG, | CI, | CM, | GΑ, | GN, | GW, | ML, | MR, | ΝE, | SN, | TD, | TG | | |
| US | 7067 | 116 | | | B1 | | 2006 | 0627 | | US 20 | 000- | 5350 | 05 | | 2 | 0000 | 323 |
| CA | 2402 | 988 | | | AA | | 2001 | 0927 | | CA 20 | 001-2 | 2402 | 988 | | 2 | 0010 | 123 |
| EΡ | 1267 | 829 | | | A1 | | 2003 | 0102 | | EP 20 | 001- | 9599: | 12 | | 2 | 0010 | 123 |
| EΡ | 1267 | 829 | | | В1 | | 2006 | 0503 | | | | | | | | | |
| | R: | AT, | BE, | CH, | DE, | DK, | ES, | FR, | GB, | GR, | IT, | LI, | LU, | NL, | SE, | MC, | PT, |
| | | ΙE, | SI, | LT, | LV, | FI, | RO, | MK, | CY, | ΑL, | TR | | | | | | |
| BR | 2001 | 0093' | 78 | | Α | | 2003 | 0603 | : | BR 20 | 001-9 | 9378 | | | 20 | 0010 | 123 |
| JP | 2003 | 5274 | 10 | | T2 | | 2003 | 0916 | , | JP 20 | 001- | 5683 | 92 | | 20 | 0010 | 123 |

```
NZ 520961 A 20031031 NZ 2001-520961 20010123
RU 2256442 C2 20050720 RU 2002-128354 20010123
CN 1651092 A 20050810 CN 2004-10100395 20010123
AT 324864 E 20060615 AT 2001-959912 20010123
EP 1674078 A2 20060628 EP 2006-7766 20010123
R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
ZA 2002006963 A 20030721 ZA 2002-6963 20020829
NO 2002004513 A 20030721 ZA 2002-6963 20020829
NO 2002004513 A 20020920 NO 2002-4513 20020920
AU 2006201888 A1 20060525 AU 2006-201888 20060504
US 2006204559 A1 20060914 US 2006-429547 20060505
PRIORITY APPLN. INFO.:
US 2000-535005 A 20000323
EP 2001-959912 A3 20010123
WO 2001-US2192 W 20010123
```

AB Physiol. acceptable films, including edible films, are disclosed. The films include a water soluble film-forming polymer, such as pullulan, and a taste masked pharmaceutically active agent, such as dextromethorphan. The taste masking agent is preferably a sulfonated polymer ion exchange resin comprising polystyrene cross-linked with divinylbenzene, such as Amberlite. Methods for producing the films are also disclosed. For example, an antitussive film was prepared in accordance with the following procedure: (A) uncoated dextromethorphan hydrobromide was dissolved with mixing in the water, while maintaining the temperature at 75°, Amberlite resin was then mixed into the water with heating at 70-80°, and heating was stopped, water lost to evaporation was replaced, and the potassium sorbate and sweeteners were then added to the composition with mixing to form Preparation A. (B) The film-forming ingredients (i.e., xanthan gum, locust bean gum, carrageenan and pullulan) were mixed in a sep. container to form Preparation B. (C) Preparation B was slowly

mixed in a sep. container to form Preparation B. (C) Preparation B was slowly added

to Preparation A with rapid mixing, followed by overnight mixing at a reduced rate to provide Preparation C. (D) The menthol was dissolved with mixing in the alc. in a sep. container. The Physcool was then dissolved with mixing therein. Monoammonium glycyrrhizinate, Polysorbate 80, Atmos 300 and flavors were then added to the mixture and mixed to enhanced uniformity to form Preparation D. (E) Preparation D, glycerin and mannitol

were
added to Preparation C with thorough mixing to provide Preparation E.
Preparation E was

poured on a mold and cast to form a film of a desired thickness at room temperature The film was dried under warm air and cut to a desired dimension (dictated by, e.g., dosage and mouthfeel) for taste testing.

The active film had a pleasing appearance and taste.

REFERENCE COUNT: 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L14 ANSWER 5 OF 5 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2000:608557 CAPLUS

DOCUMENT NUMBER: 133:198680

TITLE: Rapidly-soluble compositions comprising open matrix of

a carbohydrate polymer

INVENTOR(S): Martyn, Glen Patrick; Colaco, Camilo PATENT ASSIGNEE(S): Quadrant Holdings Cambridge Limited, UK

SOURCE: PCT Int. Appl., 16 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

WO 2000050013 A1 20000831 WO 2000-GB630 20000222

W: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU,

```
CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL,
             IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA,
             MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI,
             SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM,
             AZ, BY, KG, KZ, MD, RU, TJ, TM
         RW: GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE,
             DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF,
             CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                                                                    20000222
    CA 2363126
                          AA
                                20000831
                                            CA 2000-2363126
                                                                    20000222
    EP 1156785
                          Α1
                                20011128
                                            EP 2000-905188
            AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO
    JP 2002537322
                          T2
                                20021105
                                            JP 2000-600625
                                                                    20000222
PRIORITY APPLN. INFO.:
                                            GB 1999-4049
                                                                   19990222
                                            WO 2000-GB630
                                                                 W 20000222
```

AB A composition in the form of a shaped body, comprises a rapidly soluble, open matrix of a carbohydrate polymer. Such a composition may be obtained by the removal of solvent from a solution containing the carbohydrate polymer and any other component(s), the solution being provided as a single dosage aliquot in a mold corresponding to the desired shape. A solution containing pullulan 5, mannitol 5, and diltiazem 20% was lyophilized for 4 h to yield a solid matrix. The solid matrix was of sufficient non-friability and dissolved instantaneously in water at room temperature

REFERENCE COUNT:

THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L15 ANSWER 1 OF 4 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:120708 CAPLUS

DOCUMENT NUMBER: 140:169672

TITLE: Process for making orally consumable biopolymeric

films

INVENTOR(S): Auffret, Anthony David; Benee, Lisa Suzanne

PATENT ASSIGNEE(S): Pfizer Limited, UK; Pfizer Inc.

SOURCE: PCT Int. Appl., 24 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
PATENT NO.
                       KIND DATE
                                         APPLICATION NO.
                                                                 DATE
                               -----
                                           -----
                                                                 -----
     -----
                        ----
    WO 2004012720
                         A1
                               20040212
                                          WO 2003-IB3244
                                                                 20030716
    WO 2004012720
                        C1
                               20040415
        W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
            GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
            LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM,
            PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT,
            TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
            KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ; DE, DK, EE, ES,
            FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR,
            BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
                         AA
                               20040212
                                        CA 2003-2493786
                                                                 20030716
    CA 2493786
    AU 2003247083
                         A1
                               20040223
                                         AU 2003-247083
                                                                 20030716
                                         EP 2003-766550
    EP 1534253
                         A1
                               20050601
                                                                 20030716
            AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
                                         BR 2003-12993
    BR 2003012993
                        Α
                               20050628
                                                                 20030716
    JP 2006503003
                         Т2
                               20060126
                                          JP 2004-525675
                                                                 20030716
                                          US 2003-626811
    US 2004131661
                         A1
                               20040708
                                                                 20030723
PRIORITY APPLN. INFO.:
                                          GB 2002-17382
                                                              A 20020726
                                          US 2002-403887P
                                                              P 20020816
                                          WO 2003-IB3244
                                                              W 20030716
```

AB The present invention is concerned with a process for making rapidly dissolving and dispersing dosage forms, particularly orally consumable films, made of a hydrated polymer comprising pullulan and sodium alginate having a viscosity suitable for casting, for the delivery of pharmaceutically active agents. For example, pullulan (20.0 g) and sodium alginate (1.0 g) were dissolved in water (100 mL) and the pH of the resulting gel was adjusted to 3.5 with hydrochloric acid. To 31.7 g of the gel was added ibuprofen (3.5 g) and a film was prepared by applying the gel to a glass plate, and the resulting film was dried at 80° for 30 min. When dry, the film provided an ibuprofen concentration of 36.6% weight/weight, i.e., about 32 mg of ibuprofen in a

film $2.2 \text{ cm} \times 3.2 \text{ cm}$.

L15 ANSWER 2 OF 4 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2003:86678 CAPLUS

DOCUMENT NUMBER: 138:339909

TITLE: Molecular weight effects on solution rheology of

pullulan and mechanical properties of its films

AUTHOR(S): Lazaridou, Athina; Biliaderis, Costas G.;

Kontogiorgos, Vassilis

CORPORATE SOURCE: School of Agriculture, Laboratory of Food Chemistry

and Biochemistry, Food Science and Technology

Department, Aristotle University, Thessaloniki, 54006,

Greece

SOURCE: Carbohydrate Polymers (2003), 52(2), 151-166

CODEN: CAPOD8; ISSN: 0144-8617

PUBLISHER: Elsevier Science Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English

AB The effects of mol. weight on solution rheol. of pullulan, and on thermomech. properties of sorbitol and(or) water-plasticized pullulan specimens, prepared by either hot pressing or casting of aqueous solns., were studied. Pullulan samples differing in mol. weight were characterized by 13C NMR spectroscopy and SEC combined with a multi-angle laser light scattering and a refractive index detector. For samples with weight average mol. weight (Mw) ranging between

100 and
560 + 103, the values of limiting viscosity ([n]),

critical concentration (c^*), and coil overlap parameter ($c^*[\eta]$) were within

the

range 0.38-0.70 dL/g, 1.4-3.1 g/dL and 1.0-1.2 dL/g, resp. The thermomech. properties of 5 mol. weight grades of pullulan, either alone or with sorbitol (plasticized at a 10% d.b. level) were examined by dynamic mech. thermal anal. (DMTA). A large drop in storage modulus E' (.apprx.101.5-103 Pa) and a peak in tan δ in the DMTA traces accompanied the glass-rubber transition (Tg) or the α -relaxation (Ta) of pullulan; the magnitude of the drop in E' and the tan δ peak height increased with increasing water content. The plasticizing action of water and sorbitol was evident in the DMTA curves, and the Tg vs. moisture content data were fitted to the Gordon-Taylor empirical model. Within the range of mol. wts. tested, there was no effect of polymer mol. weight on Tg. A β -relaxation detected by DMTA was shifted to lower temperature with increasing moisture content and to higher temperature with addition of sorbitol. Apparent activation energies for α -relaxation (E α) and β -relaxation (E α) processes, estimated from multi-frequency measurements, were within 171-640 and 118-256 kJ/mol, resp.; the values for E α

 $(E\alpha\beta)$ processes, estimated from multi-frequency measurements, were within 171-640 and 118-256 kJ/mol, resp.; the values for Eαα and fragility' parameter decreased with increasing moisture content. Anal. of viscoelasticity data using the time-temperature superposition principle with the Williams-Landel-Ferry equation was successful over the range Tg to Tg + 40°, provided that the coeffs. C1 and C2 are optimized and not allowed to assume their universal' values. Large deformation mech. tests demonstrated large decreases in tensile (Young's) modulus (E) and strength (omax), and an increase in percentage elongation with increasing water content and (or) addition of sorbitol in pullulan films. Relationships between the tensile parameters (E and omax) and water content showed an increase in stiffness of the films from 3 to 7% moisture, and a strong softening effect at higher water contents. The tensile tests revealed some relationships between mech. properties under uniaxial load and the mol. characteristics of pullulan, e.g. E, omax, and elongation values increased with increasing mol. weight

REFERENCE COUNT: 89 THERE ARE 89 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L15 ANSWER 3 OF 4 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2002:63208 CAPLUS

DOCUMENT NUMBER: 136:342461

TITLE: Thermophysical properties of chitosan, chitosan-starch

and chitosan-pullulan films near the glass transition

AUTHOR(S): Lazaridou, Athina; Biliaderis, Costas G.

CORPORATE SOURCE: School of Agriculture, Laboratory of Food Chemistry and Biochemistry, Department of Food Science and

Technology, Aristotle University, Thessaloniki, 540

06, Greece

SOURCE: Carbohydrate Polymers (2002), 48(2), 179-190

CODEN: CAPOD8; ISSN: 0144-8617

PUBLISHER: Elsevier Science Ireland Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English

The thermomech. properties of aqueous solution-casted films of chitosan (I), starch-I (SC) and pullulan-I (PC) blends were examined by dynamic mech. thermal anal. (DMTA) and large deformation tensile testing. Incorporation of sorbitol (10 and 30% d.b.) and (or) adsorption of moisture by the films resulted in substantial depression of the glass transition temperature (Tq) of the polysaccharide matrix because of plasticization. the composite films, there was no clear evidence of sep. phase transitions of the individual polymeric constituents or a sep. polyol phase; a rather broad but single drop of elastic modulus, E', and a single peak tan δ were observed The relationship between the Tg and moisture for all films could be modeled with the empirical Gordon-Taylor equation. Apparent activation (Ea) energies for the α-relaxation process, estimated from multi-frequency DMTA measurements, were within 225-544 kJ mol-1, depending on film composition; the Ea and 'fragility' parameters decreased with increasing moisture content. Anal. of viscoelasticity data using the time-temperature superposition (TTS) principle with the Williams-Landel-Ferry (WLF) equation was successful, provided that the coeffs. C1 and C2 are optimized and not allowed to assume their 'universal' values. Tensile testing of films adjusted at various levels of moisture indicated large drops in Young's modulus and tensile strength (omax) with increasing level of polyol and moisture; the sensitivity of the films to plasticization was in the order of SC>PC>I. Modeling of the modulus data with the Fermi equation allowed comparison among samples for the fall in modulus around the glass transition zone as a function of moisture content under isothermal conditions. Relationships between omax and water content showed an increase in stiffness of the PC films from 7-11% moisture, and a strong softening effect at higher water contents. The observed range of omax values (20-80 MPa) for most films was comparable to many medium-strength com. films.

REFERENCE COUNT: 93 THERE ARE 93 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L15 ANSWER 4 OF 4 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1999:547289 CAPLUS

DOCUMENT NUMBER: 131:256483

TITLE: Glass transition and physical properties of

polyol-plasticized pullulan-starch blends at low

moisture

AUTHOR(S): Biliaderis, C. G.; Lazaridou, A.; Arvanitoyannis, I.

CORPORATE SOURCE: Laboratory of Food Chemistry and Biochemistry,

Department of Food Science and Technology, School of Agriculture, Aristotle University, Thessaloniki, 540

06, Greece

SOURCE: Carbohydrate Polymers (1999), 40(1), 29-47

CODEN: CAPOD8; ISSN: 0144-8617

PUBLISHER: Elsevier Science Ireland Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English

AB The effects of water and polyols, at low weight fractions, on water sorption behavior, thermal and mech. properties, and gas permeability of blends of pullulan and gelatinized corn starch (ps), prepared by either hot pressing or casting aqueous solns., were studied. Incorporation of sorbitol or xylose in the ps blends resulted in lower equilibrium moisture contents in the low to medium aw range, and much higher moisture contents at aw > 0.75; the Guggenheim-Anderson-DeBoer isotherm model adequately described the sorption data up to the aw of 0.9. Water and polyols exerted a strong plasticizing action, lowering the Tg of the blends and allowing enthalpy relaxation events to occur during aging of the amorphous specimens. At the low polyol levels examined (10 and 20% dry basis), a single glass transition temperature for the polymeric constituents was

identified in all samples by DSC and DMTA; apparent activation energies of 226-296 kJ mol-1 for the α -relaxation were estimated from multifrequency mech. measurements. Large deformation mech. tests demonstrated sharp decreases in Young's moduli with increasing levels of polyol and water, typical of the glass-rubber transition of amorphous polymers; the relationship of flexural modulus and moisture content was quantified using the Fermi's model. The relationship between maximum stress (omax) and water content showed an increase in stiffness of the blends from 7 to 11% moisture, and a strong softening effect when the water content exceeded this range. The Arrhenius plots of O2 and CO2 permeability data showed distinct changes in slope in the glass transition region of the blends. Anal. of viscoelastic data with the time-temperature superposition principle and treatment of gas permeability data with the Williams-Landel-Ferry equation suggested that application of this model is meaningful if the coeffs., C1 and C2, are allowed to vary instead of assuming their "universal" values.

126

REFERENCE COUNT:

THERE ARE 126 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE REFORMAT

L19 ANSWER 11 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2000:733001 CAPLUS

DOCUMENT NUMBER: 133:313376

TITLE: Skin-protecting cosmetics containing polymer blends INVENTOR(S): Kawata, Yuzo; Yamazaki, Seiji; Takaqi, Yutaka; Hori,

Kimihiko

PATENT ASSIGNEE(S): Kao Corp., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 9 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

JP 2000290153 A2 20001017 JP 1999-103198 19990409

JP 3771743 B2 20060426

PRIORITY APPLN. INFO.: JP 1999-103198 19990409

AB This present invention relates to cosmetics for forming skin-protecting

films comprising polymer blends having a defined moisture

permeation coefficient The polymers are water-soluble or water-dispersible and form percolation structures. A lotion contained pullulan 0.5,

polyethylene glycol 1, ethanol 5, glycerin 3, polyoxyethylene oleyl ether

0.3, ethoxylated hydrogenated castor oils 0.2,

2-hydroxyethylguanidine 0.5, ceramides 0.5, Zn p-phenolsulfonate 0.2, and water q.s. to 100 %.

L19 ANSWER 12 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1995:526598 CAPLUS

DOCUMENT NUMBER: 122:316401

TITLE: Polyol esters with high dielectric constant and good

moisture resistance

INVENTOR(S): Fukui, Ikuo; Nagura, Shigehiro

PATENT ASSIGNEE(S): Shinetsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 4 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE -----____ _____ ____ JP 06196022 JP 1992-356992 A2 19940715 19921222 PRIORITY APPLN. INFO.: JP 1992-356992 19921222

AB The title esters useful for elec. and electronic parts such as electroluminescent device and capacitor (no data) are derived from monosaccharides, oligosaccharides, polysaccharides, poly(vinyl alc.), or their derivs. and bear ≥60% cyanoethyl groups and 5-40% C10-20 saturated fatty acid ester groups. Thus, acylating a cyanoethylated pullulan (Cyanoresin CR-S) with lauroyl chloride gave a title ester with D.S. 0.32, a solvent-cast film from which showed good moisture resistance.

L19 ANSWER 13 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1991:608823 CAPLUS

DOCUMENT NUMBER: 115:208823

TITLE: Photo- and heat-polymerizable cyanoethyl compounds INVENTOR(S): Tanioka, Soji; Onda, Yoshiro; Maruyama, Kazumasa;

Chiba, Toru

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 5 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

KIND DATE APPLICATION NO. PATENT NO. DATE TD 02298502 --------------A2 19901210 JP 1989-119094 19890512 JP 02298502 PRIORITY APPLN. INFO.: JP 1989-119094 19890512 Title compns. useful as dielec. materials contain cyanoethyl and OH groups, in which H of the latter is displaced by the N-methyleneacrylamide group. Thus, cyanoethyl pullulan 150, hydroquinone Me ether 1, 85% H3PO4 0.5, N-methylolacrylamide 100 g in Me2CO were heated at 50° for 1 h to obtain a compound with degree of substitution for cyanoethyl and N-methylolacrylamide groups being 2.72 and 0.15, resp. The obtained compound (20 g) was mixed with 0.6 g benzil in Me2CO, and the mixture was cast on a glass plate, dried, and UV-irradiated to obtain a film showing Me2CO-insol. content 91% and softening temperature >270°, vs. 0 and 130, resp., for the film prepared using untreated cyanoethyl pullulan.

L19 ANSWER 14 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1988:453546 CAPLUS

DOCUMENT NUMBER: 109:53546

TITLE: Seasoning-containing carbohydrate films readily

soluble in water

INVENTOR(S): Fukui, Katsutada; Kobayashi, Hiromi
PATENT ASSIGNEE(S): Daicel Chemical Industries, Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 3 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|-------------|------|----------|-----------------|----------|
| | | | | |
| JP 63036767 | A2 | 19880217 | JP 1986-180050 | 19860801 |
| JP 07121200 | B4 | 19951225 | | |
| | | | | |

PRIORITY APPLN. INFO.:

AB Edible films containing seasonings, flavoring materials, etc. are prepared using mixts. of microfibrillated water-insol. natural fibers and polyhydroxy compds. as binders. Thus, an aqueous solution of pullulan (mol. weight 200,000) was homogenized with pulverized filter paper to give a suspension of microfibrillated cellulose, which was mixed with an aqueous slurry containing dried cod roe, dried laver powders, NaCl, and Na glutamate. The mixture was cast on a polyester film and dried to give an edible film. This dried film was readily soluble in H2O and used as a seasoning.

L19 ANSWER 15 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1987:86556 CAPLUS

DOCUMENT NUMBER: 106:86556

TITLE: Electrically conductive pullulan resin compositions INVENTOR(S): Miyata, Seizo; Yugawa, Masahiko; Tasaka, Shigeru

PATENT ASSIGNEE(S): Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 5 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

JP 61246238 A2 19861101 JP 1985-86587 19850424

JP 61246238 A2 19861101 JP 1985-86587 19850424 PRIORITY APPLN. INFO.: JP 1985-86587 19850424

Cyanoethyl pullulan 8.4, N,N'-diphenyl-p-phenylenediamine 0.04, and iodine 0.96 g were dissolved in MeCN, cast on a polyethylene film, and dried at 35-40° for 3 days in vacuo to give an

elec. conductor whose conductivity did not change for >200 days.

L19 ANSWER 16 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1984:594061 CAPLUS

DOCUMENT NUMBER: 101:194061

TITLE: Self-supporting glucan films INVENTOR(S): Hijiya, Hiromi; Miyake, Toshio

PATENT ASSIGNEE(S): Hayashibara Biochemical Laboratories, Inc., Japan

SOURCE: Fr. Demande, 14 pp.

CODEN: FRXXBL

DOCUMENT TYPE: Patent LANGUAGE: French

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | | DATE |
|------------------------|------|----------|-----------------|---|----------|
| FR 2537496 | A1 | 19840615 | FR 1983-19729 | • | 19831209 |
| FR 2537496 | B1 | 19881014 | | | |
| US 4562020 | A | 19851231 | US 1983-556957 | | 19831201 |
| PRIORITY APPLN. INFO.: | | | JP 1982-217196 | Α | 19821211 |
| | | | JP 1983-149993 | Α | 19830817 |

AB Title films with high tensile and bending strength for water-soluble, edible packaging materials for food, pharmaceuticals, and perfumes and manufactured by casting an aqueous glucan [9012-72-0] solution on a continuous moving corona-treated plastic band, drying, and separating from the band. Thus, water containing corn syrup (dextrose equivalent 43) 10 (based on

solids), K2HPO4 0.6, NaCl 0.1, MgSO4·7H2O 0.02, (NH4)2SO4 0.06, and yeast extract 0.04% was heated 20 min at 120°, inoculated with Aureobasidium pullulans IFO 6353 at 25°, stirred and aerated one week, decolorized, partially purified by reverse osmosis, and concentrated to give 70% pullulan [9057-02-7] as a 35% solution This solution was cast on a continuous, moving, corona-treated polyester band, dried at 90°, and separated from the band to give a 0.04-mm-thick transparent film useful as water-soluble, edible packaging material.

L19 ANSWER 17 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1982:55407 CAPLUS

DOCUMENT NUMBER: 96:55407

TITLE: Dry-cell batteries
PATENT ASSIGNEE(S): Hitachi, Ltd., Japan
SOURCE: Jpn. Tokkyo Koho, 2 pp.

CODEN: JAXXAD

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------------------------|------------|-----------|------------------------|---------------|
| | | | | |
| JP 56040944 | B4 | 19810924 | JP 1974-42592 | 19740415 |
| PRIORITY APPLN. INFO.: | | | JP 1974-42592 | A 19740415 |
| AB A starch-water-so | oluble pol | ymer adhe | sive mixture is coated | on a pullulan |
| film to prepare a | dry-cell | battery | separator, and the coa | ted side is |
| in contact with t | he Zn can | 1. Thus, | pullulan acetate | |

[53571-84-9] was cast to a $70\mu\text{-thick}$ film, and a mixture of cornstarch and Me cellulose was coated on 1 side of the film to prepare a separator. Dry-cell batteries using the separator and a kraft paper-based separator were stored for 3 mo, and the discharge across a $2\text{-}\Omega$ load was 425 min vs. 365 min for conventional batteries.

L19 ANSWER 18 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1977:441049 CAPLUS

DOCUMENT NUMBER: 87:41049

TITLE: Water-resistant pullulan

INVENTOR(S): Tsuji, Kozo; Fujita, Fumio; Fujimoto, Masanori;

Masuko, Fujio; Fukami, Kosuko

PATENT ASSIGNEE(S): Sumitomo Chemical Co., Ltd., Japan; Hayashibara

Biochemical Laboratories, Inc.

SOURCE: Jpn. Kokai Tokkyo Koho, 4 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | | DATE |
|------------------------|------|----------|-----------------|---|----------|
| | | | | | |
| JP 51117786 | A2 | 19761016 | JP 1975-43788 | | 19750409 |
| PRIORITY APPLN. INFO.: | | | JP 1975-43788 | Α | 19750409 |

AB Water-resistant pullulan (I) [9057-02-7] having good mech. strength was prepared by crosslinking I with 2-hydroxyadipaldehyde [141-31-1] or glutaraldehyde (II) [111-30-8]. Thus, a solution of 8.1 g I, 20 ml aqueous 25% II, and 25 ml water was mixed with 0.5 g MgCl2.6H2O, cast on glass, and dried to prepare a film which did not deform after immersing in water for 1 day.

L19 ANSWER 19 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1977:92223 CAPLUS

DOCUMENT NUMBER: 86:92223

TITLE: Water-resistant pullulan

INVENTOR(S): Tsuji, Kozo; Fujimoto, Masanori; Masuko, Fujio;

Nagase, Tsuneyuki

PATENT ASSIGNEE(S): Hayashibara Blochemical Laboratories, Inc., Japan;

Sumitomo Chemical Co., Ltd.

SOURCE: Jpn. Kokai Tokkyo Koho, 5 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 3

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | AP | PLICATION NO. | | DATE |
|------------------------|------|----------|----|---------------|---|----------|
| JP 51151783 | A2 | 19761227 | JP | 1975-76266 | - | 19750620 |
| US 4152170 | Α | 19790501 | US | 1976-695762 | | 19760614 |
| DE 2627125 | A1 | 19761230 | DE | 1976-2627125 | | 19760616 |
| DE 2627125 | C2 | 19851212 | | | | |
| GB 1535603 | Α | 19781213 | GB | 1976-24967 | | 19760616 |
| FR 2339624 | A1 | 19770826 | FR | 1976-18373 | | 19760617 |
| FR 2339624 | B1 | 19790427 | | | | |
| PRIORITY APPLN. INFO.: | | | JР | 1975-74811 | Α | 19750618 |
| | | | JР | 1975-75247 | Α | 19750619 |
| | | | JP | 1975-75248 | Α | 19750619 |
| | | | JР | 1975-76266 | Α | 19750620 |
| | | | JP | 1975-76267 | Α | 19750620 |

AB Pullulan [9057-02-7] was crosslinked with adipic acid (I) [124-04-9], oxalic acid [144-62-7], or glutaric acid [110-94-1] to improve

water resistance. Thus, 10 parts pullulan was dissolved in 100 parts DMF, mixed with 5 parts I and p-toluenesulfonic acid, heated at 70-100° for 10 h, cast on glass to form a film, and heated at 130° for 5 h to give a water-resistant film

L19 ANSWER 20 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1977:73416 CAPLUS

DOCUMENT NUMBER: 86:73416

TITLE: Carboxylated pullulan

INVENTOR(S): Tsuji, Kozo; Fujimoto, Masanori; Masuko, Fujio;

Nagase, Tsuneyuki

PATENT ASSIGNEE(S): Sumitomo Chemical Co., Ltd., Japan

SOURCE: Ger. Offen., 13 pp.

CODEN: GWXXBX

DOCUMENT TYPE: Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------------------------|-----------|----------|-----------------|----------|
| | | | | |
| DE 2623212 | A1 | 19761230 | DE 1976-2623212 | 19760524 |
| JP 51149388 | A2 | 19761222 | JP 1975-74091 | 19750617 |
| FR 2314923 | A1 | 19770114 | FR 1976-15733 | 19760525 |
| FR 2314923 | B1 | 19781103 | | |
| GB 1536774 | Α | 19781220 | GB 1976-21955 | 19760527 |
| PRIORITY APPLN. INFO.: | | | JP 1975-74091 A | 19750617 |

AB The surface tension of pullulan (I) [9057-02-7] is lowered

without reduction of strength by carboxylation. Thus, 10 parts I of mol. weight

295,000 was dissolved in 300 parts 90% H3PO4, mixed with 2 parts NaNO2 at 10°, and stirred 1 h, giving 8 parts carboxylated I with carboxy content 10% . This product was cast into a 30 μ film which had tensile strength 600 kg/cm2, Young's modulus 19,000 kg/cm2, good clarity, and good cold water solubility Oxidized starch formed a brittle, non-continuous film under the same conditions. The carboxylated I had surface tension 51 d/cm as a 1% aqueous solution, compared with 68

dyne/cm
 for the unoxidized starting material.

L19 ANSWER 21 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1976:169514 CAPLUS

DOCUMENT NUMBER: 84:169514

TITLE: Pullulan acetate semipermeable membranes

INVENTOR(S): Sano, Takezo; Shimomura, Takatoshi; Hayashibara, Ken

PATENT ASSIGNEE(S): Sumitomo Chemical Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 3 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | | DATE |
|------------------------|------|----------|-----------------|---|----------|
| | | | | | |
| JP 50080278 | A2 | 19750630 | JP 1973-130560 | | 19731119 |
| JP 56012162 | B4 | 19810319 | | | |
| PRIORITY APPLN. INFO.: | | | JP 1973-130560 | Α | 19731119 |

AB Pullulan (I) is acetylated to 1.6-2.95 substitutions/repeating unit to give a material for semipermeable membranes. Thus, a mixture of I (mol. weight 4 + 105) 5, Ac2O 13, and pyridine 30 g was heated 2 hr at 100° to give acetylated I having 2.6 Ac groups/repeating unit. A 5- μ film, cast from a 5% solution of the above product

in CHCl3, desalinated 0.5% aqueous NaCl at 50 kg/cm2 at a rate of 0.005 ml/min-cm2 with a salt rejection rate of 88%.

L19 ANSWER 22 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 1975:517321 CAPLUS

DOCUMENT NUMBER: 83:117321

Paint containing pullulan TITLE:

Nakashio, Seizo; Sekine, Noriyuki; Toyota, Nobuhiro; INVENTOR(S):

Fujita, Fumio

Sumitomo Chemical Co., Ltd., Japan; Hayashibara PATENT ASSIGNEE(S):

Biochemical Laboratories, Inc.

SOURCE: U.S., 4 pp.

CODEN: USXXAM

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | | DATE |
|------------------------|------|----------|-----------------|---|----------|
| | | | | | |
| US 3888809 | Α | 19750610 | US 1974-508844 | | 19740924 |
| PRIORITY APPLN. INFO.: | | | JP 1973-10994 | Α | 19730929 |

For diagram(s), see printed CA Issue. GI

Pullulan (I) [9057-02-7] (n = 20-10,000) gave coating AB films having good clarity, stability to aging, and low O

permeability. Thus, a 3% aqueous I solution (mol. weight 150,000) was applied

to a

glass plate and held 24 hr at 23° at 77% relative humidity to give a film having tensile strength 400 kg/cm2, Young's modulus 14,100 kg/cm2, and pencil hardness 2B, compared to 350 kg/cm2, 1,700 kg/cm2 and 6B, resp. for a film cast from poly(vinyl alc.) solution

L19 ANSWER 1 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:939959 CAPLUS

DOCUMENT NUMBER: 145:316127

TITLE: Edible food packaging film and its preparation method

INVENTOR(S): Lin, Yuhui; Yu, Shaohua

PATENT ASSIGNEE(S): Peop. Rep. China

SOURCE: Faming Zhuanli Shenqing Gongkai Shuomingshu, 10pp.

CODEN: CNXXEV

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

CN 1827672 A 20060906 CN 2006-10033533 20060213
PRIORITY APPLN. INFO.: CN 2006-10033533 20060213

AB Title film is composed of pullulan 20-50, gelatin

20-50, auxiliary film-forming agent 1-17, emulsifier 0.05-2, pigment 0-1 and water 0.1-10wt%. The method comprises the following steps of mixing pullulan, gelatin and auxiliary film-forming agent with water at a weight ratio of 1: 50-80; heating to 90-100°, dissolving; mixing emulsifier with pigment, adding in above mixture, stirring; feeding into colloid mill, homogenizing, degassing; casting and film forming at 80-100°; and printing with edible ink. Title product has good mech. performance, choke property, fragrance protectiveness, water-tightness, oil-tightness, no pollution, degradable waste, etc. and can be adapted for automatic operation of food packaging. The invention has the advantages such as simple technol., low production cost, no release of harmful material, high safety, etc.

L19 ANSWER 2 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:142658 CAPLUS

DOCUMENT NUMBER: 144:288956

TITLE: Pullulan film and microbial preservation method using

the same

INVENTOR(S): Li, Shijie
PATENT ASSIGNEE(S): Peop. Rep. China

SOURCE: Faming Zhuanli Shenqing Gongkai Shuomingshu, 8 pp.

CODEN: CNXXEV

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

CN 1644675 A 20050727 CN 2004-10061418 20041223

PRIORITY APPLN. INFO.: CN 2004-10061418 20041223

This invention provides a pullulan film and microbial preservation method using the same, wherein the film is prepared by flow casting and has a thickness of 0.02-0.04 mm, tensile strength of 27-32 MPa, angle tear strength of 31-38 KN/m, transmittancy of 95-98%, and oxygen permeation rate of 2.7-1.6 cm3/m2.bar.d. The method comprises spreading glycerol, trehalose protectant, and microbial inoculum onto the central part of two layers of sterilized pullulan films, sticking tightly, and enclosing with plastic film

. The method can provide the conditions required for microbial preservation, such as dryness, oxygen deficiency, low temperature, nutrition deficiency, and addition of protectant. The films carried with microbial strains can be arranged into booklets for the research of microbial sorting, with the advantages of small size, simple operation, no

need of expensive equipment, and convenience for mailing and application. A culture of a microorganism can be prepared simply by cutting a small portion from the film on which the microorganism is preserved and dissolving it in a culture medium.

L19 ANSWER 3 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:136018 CAPLUS

DOCUMENT NUMBER: 144:253133

TITLE: Film coating composition for food packaging

INVENTOR(S): Lin, Yuhui; Liu, Mouquan; Yu, Shaohua

PATENT ASSIGNEE(S): Shantou Fuwei Fruits and Nuts Manufacturing Co., Ltd.,

Peop. Rep. China

SOURCE: Faming Zhuanli Shenqing Gongkai Shuomingshu, 10 pp.

CODEN: CNXXEV

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

CN 1593255 A 20050316 CN 2004-10027896 20040628

PRIORITY APPLN. INFO.: CN 2004-10027896 20040628

AB The film coating composition is composed of (by weight) acrylic acid resin 20-70%, pullulan 20-70%, tween-80 0.5-10%, polyethylene

resin 20-70%, pullulan 20-70%, tween-80 0.5-10%, polyethylene glycol 0.1-5%, castor oil 0.5-10%, titanium dioxide 0.1-5%, and water 3-5%. The film coating composition contains also flavouring agent and pigment. The coating process on the surface of food comprises coating in turn with alc. solution of acrylic acid resin and aqueous solution of

othe

other materials to form waterproofing, anti-oxidative, and fresh-keeping film.

L19 ANSWER 4 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2005:186970 CAPLUS

TITLE: Films made with pectin: A versatile delivery system

AUTHOR(S): Clark, Ross

CORPORATE SOURCE: CP Kelco, San Diego, CA, 92123, USA

SOURCE: Abstracts of Papers, 229th ACS National Meeting, San Diego. CA. United States. March 13-17, 2005 (2005).

Diego, CA, United States, March 13-17, 2005 (2005), CELL-145. American Chemical Society: Washington, D.

C.

CODEN: 69GQMP

DOCUMENT TYPE: Conference; Meeting Abstract

LANGUAGE: English

Film formation with water soluble polymers is not new but the application of this long underutilized property is growing rapidly. Initial ideas of using the films as a form of "edible packaging" are giving way to a more realistic idea that these films are excellent carriers of active materials. The revolution began with the introduction of the Listerine "Pocket Paks" product using the often overlooked gum, pullulan. Pullulan has the advantage of being a low mol. weight material that can be used to cast films at high concns. and dissolve quickly in the mouth with a low degree of residue remaining. Our work with pectin shows that it can also be used effectively in this manner, usually with some modification of the pectin to lower its mol. weight and preserve the high methoxyl content inherent in pectin. These changes keep it from being excessively calcium sensitive and allow it to dissolve quickly. Several methods can be used to make this change. Applications of pectin films range from the breath strips already mentioned to delivery of drugs, cosmetics and possibly even a new type of confectionary product.

ACCESSION NUMBER: 2004:203635 CAPLUS

DOCUMENT NUMBER: 140:259084

TITLE: Fast dissolving film delivery of nucleotides that

inhibit the unpleasant taste of bitter tasting

medications

INVENTOR(S): McGregor, Richard Alexander; Homan, Harvey Donald;

Gravina, Stephen Anthony

PATENT ASSIGNEE(S): SOURCE: Linguagen Corp., USA PCT Int. Appl., 36 pp.

CODEN: PIXXD2

DOCUMENT TYPE: LANGUAGE:

Patent English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
PATENT NO.
                     KIND DATE
                                       APPLICATION NO.
                                                             DATE
                       ----
                              -----
                                         -----
                                                               _____
    -----
    WO 2004019885
                       A2
                              20040311
                                       WO 2003-US27111
                                                             20030828
    WO 2004019885
                       A3
                              20040910
        W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
            GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
            LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM,
            PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN,
            TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
            KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
            FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR,
            BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
    AU 2003268262
                       A1
                              20040319
                                        AU 2003-268262
                                                              20030828
PRIORITY APPLN. INFO.:
                                         US 2002-406716P
                                                            P 20020829
                                                           W 20030828
                                         WO 2003-US27111
```

AB Physiol. acceptable films, including edible films, are disclosed. The films include a water soluble film -forming polymer, such as pullulan, guar gum, xanthan gum, locust bean gum, cellulose derivs., polyvinylpyrrolidone, etc. Edible films are disclosed that include pullulan and an effective amount of one or more nucleotide compound which contains a purine or pyrimidine group or derivative thereof which inhibit activation of bitter taste G protein sensory perception of bitter tasting medicaments, e.g., dextromethorphan, phenylephrine, chlorpheniramine maleate, loperamide, or nicotine. For example, a composition containing (by weight) AMP 14.2960%, xanthan gum

0.1070%, locust bean gum 0.2150%, carrageenan 1.0730%, pullulan 51.5780%, mint flavor 5.3640%, copper gluconate 1.1150%, water 22.32%, sodium saccharin granulate 2.6910%, Polysorbate 80 0.5580%, Atmos 300 0.5580%, and FD&C Green #3 0.0084% was mixed, cast and dried into a film.

L19 ANSWER 6 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2003:666614 CAPLUS

DOCUMENT NUMBER: 140:43254

TITLE: Local thermal properties of multilayered polymer thin

film

AUTHOR(S): Sakai, Wataru; Tatsumi, Yoshiji; Ueyasu, Asami;

Tsutsumi, Naoto; Chiang, C. K.

CORPORATE SOURCE: Department of Polymer Science & Engineering, Kyoto

Institute of Technology, Kyoto, Japan

SOURCE: PMSE Preprints (2003), 89, 198

CODEN: PPMRA9; ISSN: 1550-6703

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal; (computer optical disk)

LANGUAGE: English

AB We measured local thermal properties, such as glass transition temperature (Tg),

of multilayered polymer thin films using local thermal anal. method. Sample preparation was carried out by casting method. The sample film consists of cyanoethyl ether Pullulan (CR-S) on glass substrate and polystyrene (PS, n = 1000 .approx. 1400) with various thicknesses from micron to nano-order. Thermal properties was measured by microTA with a micron-size thermal probe which position can be controlled by AFM mechanism. The Tg of PS increased with decreasing the film thickness. This result was considered that the interaction between two polymer layers, which increases Tg of PS, was

getting more observable with decreasing the PS thickness.

REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS
RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L19 ANSWER 7 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2003:282321 CAPLUS

DOCUMENT NUMBER: 138:308950

TITLE: Cosmetic film forming compositions containing pullulan

and polyvinyl alcohol

INVENTOR(S): Zolotarsky, Yelena; O'Halloran, David

PATENT ASSIGNEE(S): Lavipharm Laboratories Inc., USA

SOURCE: PCT Int. Appl., 16 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
APPLICATION NO.
                                                               DATE
    PATENT NO.
                      KIND DATE
                              -----
                                          -----
                       ----
                                        WO 2002-US30547
                                                                20020926
    WO 2003028635
                        A2
                               20030410
                        A3
    WO 2003028635
                               20030703
        W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
            CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
            GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
            LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT,
            RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ,
            VN, YU, ZA, ZW
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
            KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
            FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR, BF, BJ, CF,
            CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
                              20030508
                                       US 2002-256264
    US 2003086954
                        A1
PRIORITY APPLN. INFO.:
                                          US 2001-325490P
                                                             P 20010927
    Compns. for forming a peelable, cosmetic film on the skin of a subject are
    provided. The compns. provided contain 3-30% pullulan and 3-30% by weight
    polyvinyl alc., wherein the combination of pullulan and polyvinyl alc.
    constitutes 6-33% by weight of the composition A composition for forming a
peelable,
```

cosmetic film contained pullulan 6, polyvinyl alc. 10, glycerin 2, phenoxyethanol and methylparaben, isopropylparaben and isobutylparaben and butylparaben 0.7, and water qs to 100%.

L19 ANSWER 8 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2003:261616 CAPLUS

DOCUMENT NUMBER: 138:292415

TITLE: Pullulan based film forming cosmetic compositions

INVENTOR(S): Zolotarsky, Yelena; O'Halloran, David

PATENT ASSIGNEE(S): Lavipharm Laboratories Inc., USA

SOURCE: PCT Int. Appl., 17 pp.

CODEN: PIXXD2
DOCUMENT TYPE: Patent

LANGUAGE: Facence English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

```
PATENT NO.
                        KIND
                                DATE
                                           APPLICATION NO.
                                                                  DATE
                         ____
                                                                   -----
     ------
                                -----
                                            WO 2002-US30685
                                                                   20020926
     WO 2003026583
                         A2
                                20030403
                                20031016
     WO 2003026583
                         Α3
            AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
             CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
             GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
             LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT,
             RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ,
             VN, YU, ZA, ZW
         RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
             KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
             FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR, BF, BJ, CF,
             CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
     US 2003082221
                         A1
                                20030501
                                           US 2002-256234
                                                                   20020926
PRIORITY APPLN. INFO.:
                                           US 2001-325221P
                                                               P 20010927
     Compns. for forming a visible and distinctive cosmetic film on the skin of
     a subject contain 3 to 15 weight% pullulan. The invention also relates to a
     method of forming a visible and distinctive cosmetic film on the skin of a
     subject including applying a composition to the skin of the subject, wherein
     the composition contains 3 to 15 weight% pullulan.
L19 ANSWER 9 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN
ACCESSION NUMBER:
                        2001:724399 CAPLUS
DOCUMENT NUMBER:
                        136:6602
TITLE:
                        Morphology and mechanical properties of
                        pullulan/poly(vinyl alcohol) blends crosslinked with
                        glyoxal
AUTHOR (S):
                        Teramoto, Naozumi; Saitoh, Masahiko; Kuroiwa, Johta;
                        Shibata, Mitsuhiro; Yosomiya, Ryutoku
                        Department of Industrial Chemistry, Chiba Institute of
CORPORATE SOURCE:
                        Technology, Chiba, 275-0016, Japan
                        Journal of Applied Polymer Science (2001), 82(9),
SOURCE:
                        2273-2280
                        CODEN: JAPNAB; ISSN: 0021-8995
PUBLISHER:
                        John Wiley & Sons, Inc.
DOCUMENT TYPE:
                        Journal
LANGUAGE:
                        English
    Pullulan/poly(vinyl alc.) (PVA) blend films were
    prepared by casting the polymer solution in DMSO. Their morphol. and
    mech. properties were investigated. Scanning electron micrographs
    revealed that the pullulan was immiscible with PVA over the
     entire composition range. The tensile strength and modulus of the blend
    films were lower than those predicted by the upper bound composite
    equation. To improve the mech. properties, the reaction 40/60 blend was
    reacted with glyoxal. The IR spectral change and the increase in the
    glass-transition temperature (corresponding to the PVA component) accompanying
    the reaction indicated that crosslinking with glyoxal had proceeded.
    crosslinked films were homogeneous and had higher tensile
    strengths and moduli than the simple blend.
                              THERE ARE 12 CITED REFERENCES AVAILABLE FOR THIS
REFERENCE COUNT:
                        12
                              RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
```

L19 ANSWER 10 OF 22 CAPLUS COPYRIGHT 2006 ACS on STN ACCESSION NUMBER: 2001:713109 CAPLUS

DOCUMENT NUMBER: 135:262242

TITLE: Fast dissolving orally consumable films containing an

ion exchange resin as a taste masking agent

INVENTOR(S): Bess, William S.; Kulkarni, Neema; Ambike, Suhas H.;

Ramsay, Michael Paul

PATENT ASSIGNEE(S): Warner-Lambert Company, USA

SOURCE: PCT Int. Appl., 41 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

were

```
KIND DATE APPLICATION NO.
    PATENT NO.
                                                            DATE
     -----
                      ----
                             -----
                                        ------
                                                              -----
                             20010927 WO 2001-US2192
                                                             20010123
    WO 2001070194
                       A1
        W: AE, AG, AL, AU, BA, BB, BG, BR, BZ, CA, CN, CR, CU, CZ, DM, DZ,
            EE, GD, GE, HR, HU, ID, IL, IN, IS, JP, KP, KR, LC, LK, LR, LT,
            LV, MA, MG, MK, MN, MX, MZ, NO, NZ, PL, RO, SG, SI, SK, SL, TR,
            TT, UA, UZ, VN, YU, ZA, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
        RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY,
            DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF,
            BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
    US 7067116
                       B1
                             20060627
                                      US 2000-535005
    CA 2402988
                        AA
                             20010927
                                        CA 2001-2402988
                                                              20010123
                                        EP 2001-959912
    EP 1267829
                        A1
                             20030102
                                                              20010123
    EP 1267829
                       B1
                             20060503
           AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
                                                              20010123
                             20030603
                                      BR 2001-9378
    BR 2001009378
                      Ά
    JP 2003527410
                       T2
                             20030916
                                        JP 2001-568392
                                                              20010123
                      Α
                             20031031
    NZ 520961
                                       NZ 2001-520961
                   A
C2
A
                                                             20010123
                      C2
                             20050720 RU 2002-128354
    RU 2256442
                                                              20010123
    CN 1651092
                             20050810
                                      CN 2004-10100395
                                                              20010123
    AT 324864
                       E
                                      AT 2001-959912
                             20060615
                                                              20010123
                             20060628 EP 2006-7766
    EP 1674078
                       A2
                                                              20010123
           AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR
    ZA 2002006963
                             20030721
                                      ZA 2002-6963
                                                              20020829
                      Α
    NO 2002004513
                       Α
                             20020920
                                       NO 2002-4513
                                                              20020920
                                       AU 2006-201888
    AU 2006201888
                             20060525
                       A1
                                                              20060504
                                        US 2006-429547
    US 2006204559
                       A1
                             20060914
                                                              20060505
                                        US 2000-535005
                                                          A 20000323
PRIORITY APPLN. INFO.:
                                        EP 2001-959912
                                                          A3 20010123
                                        WO 2001-US2192
                                                           W 20010123
```

Physiol. acceptable films, including edible films, are AB disclosed. The films include a water soluble film -forming polymer, such as pullulan, and a taste masked pharmaceutically active agent, such as dextromethorphan. The taste masking agent is preferably a sulfonated polymer ion exchange resin comprising polystyrene cross-linked with divinylbenzene, such as Amberlite. Methods for producing the films are also disclosed. For example, an antitussive film was prepared in accordance with the following procedure: (A) uncoated dextromethorphan hydrobromide was dissolved with mixing in the water, while maintaining the temperature at 75°, Amberlite resin was then mixed into the water with heating at 70-80°, and heating was stopped, water lost to evaporation was replaced, and the potassium sorbate and sweeteners were then added to the composition with mixing to form Preparation A. (B) The film-forming ingredients (i.e., xanthan gum, locust bean gum, carrageenan and pullulan)

were mixed in a sep. container to form Preparation B. (C) Preparation B was slowly added to Preparation A with rapid mixing, followed by overnight mixing at a

added to Preparation A with rapid mixing, followed by overnight mixing at a reduced rate to provide Preparation C. (D) The menthol was dissolved with mixing in the alc. in a sep. container. The Physcool was then dissolved with mixing therein. Monoammonium glycyrrhizinate, Polysorbate 80, Atmos 300 and flavors were then added to the mixture and mixed to enhanced uniformity to form Preparation D. (E) Preparation D, glycerin and mannitol

added to Preparation C with thorough mixing to provide Preparation ${\tt E}$. Preparation ${\tt E}$ was

poured on a mold and cast to form a film of a desired

thickness at room temperature The film was dried under warm air and cut to a desired dimension (dictated by, e.g., dosage and mouthfeel) for taste testing. The active film had a pleasing appearance and taste.

REFERENCE COUNT:

THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L20 ANSWER 1 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2006:463142 CAPLUS

DOCUMENT NUMBER: 144:440146

TITLE: Semisolid buccal gums for the improvement of

conditions

INVENTOR(S): Yasuda, Naomi

PATENT ASSIGNEE(S): Sunstar, Inc., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 7 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------------------------|-------|--------------|-----------------|----------|
| | | | | |
| JP 2006124362 | A2 | 20060518 | JP 2004-343048 | 20041027 |
| PRIORITY APPLN. INFO.: | | | JP 2004-343048 | 20041027 |
| AB Semisolids with bre | aking | strength ≤ 1 | + 106 dyne/cm2, | |

Semisolids with breaking strength ≤ 1 + 106 dyne/cm2, comprise gums selected from the group consisting of agar, carrageenan, furcellaran, alginate, gellan gum, pectin, glucomannan, guar gum, locust bean gum, tamarind, celluloses, xanthan gum, pullulan, guar gum, CM-cellulose salts, starch phosphate, polyacrylic acid salts, arabic gum, curdlan, ghatti gum, and Aeromonas gum. The semisolids are applied onto the soft tissue, such as mucosa, tongue, gum, etc. in the buccal cavity to enhance the blood circulation, thereby improving inflammation, swelling, redness, etc. and to promote saliva secretion (no data given). For example, a gel was formulated containing carrageenan 0.15, xanthan gum 0.1, locust bean gum 0.08, concentrated glycerin 10, saccharin

ethoxylated hydrogenated castor oil 1, Ca lactate 0.09, flavors q.s., and distilled water balance to 100 %.

L20 ANSWER 2 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER: 2004:120708 CAPLUS

DOCUMENT NUMBER: 140:169672

TITLE: Process for making orally consumable biopolymeric

films

INVENTOR(S): Auffret, Anthony David; Benee, Lisa Suzanne

PATENT ASSIGNEE(S): Pfizer Limited, UK; Pfizer Inc.

SOURCE: PCT Int. Appl., 24 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

0.01,

| PATENT NO. | | | KIND DA | | DATE | | i | APPLICATION NO. | | | | | DATE | | | | |
|---------------|-----|-----|------------|----------|------|-----------------|-----|-----------------|-----|-----|----------|-----|------|-----|-----|-----|-----|
| WO 2004012720 | | | A1 | 20040212 | | WO 2003-IB3244 | | | | | 20030716 | | | | | | |
| WO 2004012720 | | C1 | 1 20040415 | | | | | | | | | | | | | | |
| | W: | ΑE, | AG, | AL, | AM, | AT, | AU, | ΑZ, | BA, | BB, | BG, | BR, | BY, | ΒZ, | CA, | CH, | CN, |
| | | CO, | CR, | CU, | CZ, | DE, | DK, | DM, | DZ, | EC, | EE, | ES, | FI, | GB, | GD, | GE, | GH, |
| | | GM, | HR, | ΗU, | ID, | IL, | IN, | IS, | JP, | ΚE, | KG, | KP, | KR, | ΚZ, | LC, | LK, | LR, |
| | | LS, | LT, | LU, | LV, | MA, | MD, | MG, | MK, | MN, | MW, | MX, | MZ, | NI, | NO, | NZ, | OM, |
| | | PH, | ΡL, | PT, | RO, | RU, | SC, | SD, | SE, | SG, | SK, | SL, | ТJ, | TM, | TN, | TR, | TT, |
| | | TZ, | UA, | ŪĠ, | US, | UZ, | VC, | VN, | YU, | ZA, | ZM, | ZW | | | | | |
| | RW: | GH, | GM, | KE, | LS, | MW, | MZ, | SD, | SL, | SZ, | TZ, | UG, | ZM, | ZW, | AM, | ΑZ, | BY, |
| | | KG, | ΚZ, | MD, | RU, | TJ, | TM, | ΑT, | BE, | BG, | CH, | CY, | CZ, | DE, | DK, | EE, | ES, |
| | | FI, | FR, | GB, | GR, | HU, | ΙE, | IT, | LU, | MC, | NL, | PT, | RO, | SE, | SI, | SK, | TR, |
| | | BF, | ВJ, | CF, | CG, | CI, | CM, | GΑ, | GN, | GQ, | GW, | ML, | MR, | NE, | SN, | TD, | TG |
| CA 2493786 | | AA | : | 20040212 | | CA 2003-2493786 | | | | | 20030716 | | | | | | |
| AU 2003247083 | | A1 | : | 20040 | 0223 | AU 2003-247083 | | | | | 20030716 | | | | | | |

```
20050601
                                            EP 2003-766550
    EP 1534253
                          A1
                                                                   20030716
        R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
            IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
    BR 2003012993
                         Α
                                20050628
                                            BR 2003-12993
                                                                   20030716
    JP 2006503003
                                20060126
                                            JP 2004-525675
                                                                   20030716
                         T2
                                                                   20030723
    US 2004131661
                         A1
                                20040708
                                            US 2003-626811
PRIORITY APPLN. INFO.:
                                            GB 2002-17382
                                                                A 20020726
                                            US 2002-403887P
                                                                P 20020816
                                            WO 2003-IB3244
                                                                W 20030716
```

AB The present invention is concerned with a process for making rapidly dissolving and dispersing dosage forms, particularly orally consumable films, made of a hydrated polymer comprising pullulan and sodium alginate having a viscosity suitable for casting, for the delivery of pharmaceutically active agents. For example, pullulan (20.0 g) and sodium alginate (1.0 g) were dissolved in water (100 mL) and the pH of the resulting gel was adjusted to 3.5 with hydrochloric acid. To 31.7 g of the gel was added ibuprofen (3.5 g) and a film was prepared by applying the gel to a glass plate, and the resulting film was dried at 80° for 30 min. When dry, the film provided an ibuprofen concentration of 36.6% weight/weight, i.e., about 32 mg of

ibuprofen in a film 2.2 cm x 3.2 cm.

L20 ANSWER 3 OF 3 CAPLUS COPYRIGHT 2006 ACS on STN

ACCESSION NUMBER:

1978:154728 CAPLUS

DOCUMENT NUMBER:

INVENTOR (S):

88:154728

TITLE:

Water-resistant moldings based on pullulan Mori, Atsuo; Namazue, Isamu; Nakae, Kiyohiko;

Terazawa, Takayuki; Ochiai, Hidekazu

PATENT ASSIGNEE(S):

Sumitomo Chemical Co., Ltd., Japan; Hayashibara

Biochemical Laboratories, Inc.

SOURCE:

Ger. Offen., 12 pp.

CODEN: GWXXBX

DOCUMENT TYPE:

Patent

LANGUAGE:

German

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE | | |
|------------------------|-----------|----------|-----------------|----------|--|--|
| | | | | | | |
| DE 2737947 | A1 | 19780302 | DE 1977-2737947 | 19770823 | | |
| JP 53026867 | A2 | 19780313 | JP 1976-101301 | 19760824 | | |
| FR 2362888 | A1 | 19780324 | FR 1977-25525 | 19770822 | | |
| FR 2362888 | B1 | 19811016 | | | | |
| GB 1559644 | Α | 19800123 | GB 1977-35313 | 19770823 | | |
| PRIORITY APPLN. INFO.: | | | JP 1976-101301 | 19760824 | | |
| | | | | | | |

AB Moldings prepared from a mixture of pullulan [9057-02-7] and Na alginate [9005-38-3] were treated with aqueous CaCl2 to prepare water-resistant moldings. Thus, a solution of 95:5 pollulan-Na alginate was cast on a surface and dried to prepare a film which was immersed in 5% aqueous CaCl2 for 30 s to prepare a transparent, water-resistant film.